



17<sup>th</sup> October, 2017

The Head- Listing  
Compliance

**BSE Limited,**  
PhirozeJeejeebhoy Towers,  
Dalal Street,  
FortMumbai- 400001

**Security Code: 511611**

The Head- Listing  
Compliance

**National Stock Exchange of  
India Ltd.**  
Exchange Plaza,  
Plot no. C/1, G Block,  
Bandra-Kurla Complex  
Mumbai - 400 051

**Stock Code:  
DCMFINSERV**

The Manager

**The Calcutta Stock  
Exchange Ltd.**  
7, Lyons Range,  
Murgighata, BBD Bagh,  
Kolkata, West Bengal-  
700001

**Subject: Compliance with Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015**

Dear Sir,

Please find enclosed herewith Certificate by Practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2017 in compliance with the regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Kindly take the above information for your records.

Thanking you,

Yours Faithfully,

For **DCM Financial Services Limited**

**CS Srishti Singh**  
(Company Secretary & Compliance Officer)

Encl: as stated



**DCM FINANCIAL SERVICES LIMITED**

CIN 65921DL1991PLC043087

Regd. Office: D 7/3, Okhla Industrial Area-II, New Delhi-110020

Tel-011-26387750 email ID: [info@dfsionline.com](mailto:info@dfsionline.com)

Website: [www.dfsionline.com](http://www.dfsionline.com)



**JAIN P & ASSOCIATES**

*(Erstwhile Jain P & Co.)*

**Company Secretaries**

**C-273, II Floor, Sector-63, Noida-201301, Uttar Pradesh**

**E-Mail: [preeticsjain@gmail.com](mailto:preeticsjain@gmail.com), Ph. +91-9599473294**

**CERTIFICATE UNDER REGULATION 40(9) OF THE**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2017**

I have examined all activities in relation to both Physical and Electronic Transfer facility relating to **DCM Financial Services Limited** maintained by **MCS Share Transfer Agent Limited** pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by **DCM Financial Services Limited** with exchanges and based on the information provided by the company, I hereby certify that the Company has delivered during half year ended **30<sup>th</sup> September, 2017**.

Share/Debenture Certificate(s) relating to the Transfer of Share/Debenture received during the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in terms of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Jain P & Associates,  
Company Secretaries**



**Preeti Jain**

**Memb. No. 41759  
C.O.P. No. 17079**

**Date: 16.10.2017**

**Place: Noida**