



## P. H. CAPITAL LTD.

**Regd. Office :** 5D, Kakad House, 5th Floor, 'A' Wing, Sir Vithaldas Thackersey Marg,  
Opp. Liberty Cinema, New Marine Lines, Mumbai - 400020.  
Tel. : 022-2201 9473 / 022-2201 9417 • CIN : L74140MH1973PLC016436  
Email : phcapitaltd@gmail.com

DATE: 11.10.2017

To,  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Tower,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai - 400001.

Dear Sir,

Sub: Certificate under Reg 40 (9) of SEBI ( Listing Obligations and Disclosure Requirements) Regulations ,2015

Please refer to the above; we are enclosing herewith Secretarial Audit Report For HALF YEARLY ended 30<sup>TH</sup> September 2017.

Please take the same in your record.

Thanking You,

For P.H. CAPITAL LIMITED

Compliance Officer

Encl: As above.



**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **P. H. CAPITAL LIMITED** maintained by Bigshare Services Private Limited, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015. I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2017.

- A) Share/Debenture Certificates relating to the Transfer of Shares/Debentures received during the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable.

*gkhandwala*

**GRISHMA KHANDWALA**  
Company Secretary  
C.P. No. 1500  
7, Indian Mercantile Mansions,  
Madam Cama Road,  
Mumbai 400 039.

Place : Mumbai

Dated : 9<sup>th</sup> October, 2017