



**TO WHOM IT MAY CONCERN:**

We Alankit Assignments Limited as the Registrar and Share Transfer Agents for both physical and dematerialisation of securities of **SWAGTAM TRADING AND SECURITIES SERVICES LIMITED** confirm,

That the Equity Shares capital of the company is held as on 30th September, 2017 in demat / physical form as under:

PARTICULARS	NO. OF EQUITY SHARES
NSDL	627550
CDSL	501524
PHYSICAL	61426
<b>TOTAL</b>	<b>1190500</b>

That the Register of members of the company is updated as on 30th September, 2017.  
That the demat/remat request(s) have been confirmed by us within/beyond 21 days from the date of receipt of electronic request. The details are as under:

NSDL	WITHIN 21 DAYS	BEYOND 21 DAYS*
No. of demat request accepted	NIL	NIL
No. of Shares involved	NIL	NIL
No. of demat request rejected	NIL	NIL
No. of Shares involved	NIL	NIL
No. of remat request accepted	NIL	NIL
No. of Shares involved	NIL	NIL
No. of remat request rejected	NIL	NIL
No. of Shares involved	NIL	NIL
<b>CDSL</b>		
No. of demat request accepted	NIL	NIL
No. of Shares involved	NIL	NIL
No. of demat request rejected	NIL	NIL
No. of Shares involved	NIL	NIL
No. of remat request accepted	NIL	NIL
No. of Shares involved	NIL	NIL
No. of remat request rejected	NIL	NIL
No. of Shares involved	NIL	NIL

And there is no pending request for confirmation more than 21 days as on 30th September, 2017.

For **ALANKIT ASSIGNMENTS LIMITED**

  
**J.P. RUSTAGI**  
(SR. MANAGER)

SEBI Registration Nos.

INB230881235, INF230881235, INE230881235, INB010881234, INF010881234, INE010881234, INE260881235, INB050881235, INP000001363, IN-DP-NSDL-14-96, IN-DP-CDSL-43-99

**CIN : U74210DL1991PLC042569**

**Registered Office :** 205-208, Anarkali Complex, Jhandewalan Extension, New Delhi - 110055

**Corporate Office :** Alankit House, 4E/2, Jhandewalan Extension, New Delhi -110055

**Phone :** +91-11-4254 1234 / 2354 1234 | **Fax :** +91-11-2355 2001 | **Website :** www.alankit.com | **e-mail :** info@alankit.com



Health & Wealth  
We Manage Both

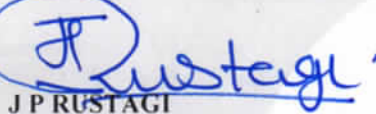
**Alankit** ASSIGNMENTS LIMITED

**TO WHOMSOEVER IT MAY CONCERN**

This is to certify that we, as a common agency of **SWAGTAM TRADING AND SERVICES LIMITED** (CIN: L51909DL1984PLC289131) for the period from 01/04/2017 to 30/09/2017, have to confirm that,

- a) We have delivered all certificates of Equity shares issued after registration of transfer within fifteen days from the date of lodgment of transfer.
- b) There were no cases for Remat of shares certificates.
- c) There was no cases for issue of duplicate shares certificate.
- d) There was no case for split of shares.
- e) There was no any case for consolidation, sub-division, renewal, exchange of certificates, endorsement for payment of call / allotment money.

For **ALANKIT ASSIGNMENTS LIMITED**

  
J P RUSTAGI  
(SR. MANAGER)

SEBI Registration Nos.

INB230881235, INF230881235, INE230881235, INB010881234, INF010881234, INE010881234, INE260881235, INB050881235, INP000001363, IN-DP-NSDL-14-96, IN-DP-CDSL-43-99

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6<sup>TH</sup> OCT, 2017

**Metropolitan Stock Exchange Limited.(MSEI)**

Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No. C 62, G-Block,  
Opposite Trident Hotel,  
Bandra Kurla Complex, (Bandra East)  
Mumbai- 400008

Dear Sir,

Unit: SWAGTAM TRADING AND SERVICES LIMITED (CIN: L51909DL1984PLC289131)  
Sub: Compliance certificate for the half year ended - 30.09.2017  
Ref: Regulation 7(3) of SEBI (LODR) Regulation, 2015

This is to certify that all activities in relation to physical and electronic share transfer facility are maintained by M/s Alankit Assignments Limited, registered with Securities and Exchange Board of India (SEBI), the Registrar & Share Transfer Agent (RTA) of SWAGTAM TRADING AND SERVICES LIMITED.

Accordingly, the compliance in respect of Regulation 7 (2) is ensured.

**For SWAGTAM TRADING AND SERVICES LIMITED**  
For Swagtam Trading & Services Ltd.

**SUMIT GUPTA**  
**Director**

R-489, GF-A, New Rajender Nagar,  
New Delhi-110060

Director/Authorized Signatory

**For Alankit Assignments Ltd.**

**J K Singla**

Senior Manager &  
Authorized Representative  
Alankit Assignments Ltd.  
1E/13, Jhandewalan Extension,  
New Delhi - 110055

