



# HB STOCKHOLDINGS LTD.

Regd. Office : Plot No .31, Echelon Institutional Area, Sector - 32, Gurgaon -122001 (Haryana)  
Ph. : 0124-4675500, Fax : 0124-4370985, E-mail : corporate@hbstockholdings.com  
Website : www.hbstockholdings.com, CIN No. L65929HR1985PLC033936

HBSL / 2017-18

9<sup>th</sup> October, 2017

<b>The General Manager (Listing)</b> BSE Limited, Pheeroze Jee Jee Bhoy Towers, Dalal Street, Fort, <b><u>Mumbai – 400 001</u></b>	<b>The Vice President,</b> National Stock Exchange of India Ltd Exchange Plaza, Bandra Kurla Complex, Bandra (East) <b><u>MUMBAI – 400 051</u></b>
<b><u>Company Code-532216</u></b>	<b><u>Stock Code HBSTOCK</u></b>

**Sub: Submission of Certificate under Regulation 40 (10) of SEBI (LODR) Reg 2015 for the First half year ended September 30, 2017**

Dear Sir,

As per new Regulations, we are submitting herewith Certificate dated 9<sup>th</sup> October, 2017 issued by M/s P.P. Agarwal & Co., Practicing Company Secretary, under Regulation 40(10) of the SEBI Listing Obligations & Disclosure Requirements) Reg 2015 for the First half year ended on 30<sup>th</sup> September, 2017.

You are requested to take the above certificate in Compliance of Regulation 40(10) of the Listing Regulations on your record.

Thanking you.

Yours faithfully,  
**For HB STOCKHOLDINGS LTD**

**ANIL KUMAR MITTAL**  
**COMPANY SECRETARY**

**Encl.: As above**

The Board of Directors  
HB Stockholdings Limited  
Plot No 31, Echelon Institutional Area,  
Sector 32,  
Gurugram -122001

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2017**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to HB Stockholdings Limited maintained by RCMC Share Registry Private Limited pertaining to transfer of equity shares of the company for the 1<sup>st</sup> half of the year 2017-18 i.e. from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, we hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2017:

(A) Share/~~De~~Debtenture Certificate(s) relating to the transfer of Shares/~~De~~Debtentures received during the 1<sup>st</sup> half of the year 2017-18 i.e. from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Place: New Delhi  
Date: October 09, 2017



For **P. P. AGARWAL & CO.**  
Company Secretaries

A handwritten signature in blue ink, appearing to be "P.P. Agarwal", written over a faint grid or lines.

(P.P. AGARWAL)  
Proprietor  
C.P. No. 10566  
FCS 4955