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PAN : AAACR6149L  
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www.seml.co.in  
CIN - L27100MH1973PLC016617

ISO 9001:2008 Certified



Certificate No. 43269



23<sup>rd</sup> October, 2017

BSE Ltd  
The Department of Corporate Services  
Phiroze Jeejeebhoy Towers  
Dalal Street - Mumbai 400 001

National Stock Exchange of India Ltd.  
Exchange Plaza, Bandra Kurla Complex  
Bandra (E), Mumbai - 400051  
Fax. No: 022-26598237/38, 022-26598347/48

Security Code No. : 504614

Symbol: SARDAEN

Series : EQ

Dear Sir,

Sub: Compliance under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

With reference to the captioned subject, please find enclosed herewith the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by a Company Secretary in Wholtime practice for the half year ended on 30<sup>th</sup> September, 2017.

The same is being filed through:

- <http://listing.bseindia.com> - with BSE
- NEAPS - with NSE

You are requested to take the same on your records.

Thanking you,

Yours faithfully  
For Sarda Energy & Minerals Ltd.

  
(Manish Sethi)  
Company Secretary

Encl: As above



**SURENDRA KUMAR JAIN**  
FCS, ACA, FCMA  
Practising Company Secretary

**TO WHOMSOEVER IT MAY CONCERN**


**CERTIFICATE UNDER REGULATION 40 (9) OF  
THE SEBI (LODR) REGULATIONS, 2015  
For The Half Year Ended 30<sup>th</sup> September, 2017**

I have examined all the Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Sarda Energy & Minerals Limited maintained by M/s. Bigshare Services Private Limited pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 by Sarda Energy & Minerals Limited and based on the information provided by the Company, I hereby certify that the Company has delivered during the half year ended on 30<sup>th</sup> September, 2017:

- A) Share Certificate(s) relating to the Share Transfer form(s) received during the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form excepting those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgment.

Place: Raipur  
Date: 12<sup>th</sup> October, 2017



  
**Surendra Kumar Jain**  
FCS, ACA, AICWA  
Practising Company Secretary  
ECS-3896 CP-2192