

Escorts Finance Limited

Escorts Corporate Center
15/5, Mathura Road, Faridabad-121003 (HR)
Phone : 0129-2250222, 2564294
Fax : 0129-4010532
E-mail : escortsfinance@escorts.co.in
Website : www.escortsfinance.com
CIN : L65910CH1987PLC033652



October 17, 2017

BSE Limited

Floor 25, P J Towers,
Dalal Street,
Mumbai – 400 001

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/ Madam,

Please find enclosed herewith the Half Yearly Compliance Certificate for the period from April 1, 2017 to September 30, 2017 from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking You,

Yours' faithfully,

For **Escorts Finance Limited**



Vicky Chauhan
Company Secretary

Encl: A/a

**UMESH KUMAR & ASSOCIATES
COMPANY SECRETARIES**

Office: D-168, Ground Floor, Rishi Nagar,
Rani Bagh Near Furniture Market
New Delhi-110034
Phone No. 91-9990137410, 91-9999550383
E-Mail: csumesh07@gmail.com

To,

The Board of Directors,
Escorts Finance Limited
SCO 198-200, Second Floor, Sector-34A,
Chandigarh-160022

Dear Sirs,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **1/04/2017** to **30/09/2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, based on the revised Listing Agreement of which were entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) dated March 1, 2016 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **30th September, 2017** :

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1/04/2017** to **30/09/2017** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies from respective date of lodgment of each deed.
- B) There was no any case for sub-division, duplicate, renewal, consolidation, exchange of certificates and endorsement for payment of call/ allotment money.

Place: New Delhi
Date: 16.10.2017

For UMESH KUMAR & ASSOCIATES
COMPANY SECRETARIES



[Signature]
CS UMESH KUMAR
C.P No- 8361