



## KOTHARI FERMENTATION AND BIOCHEM LTD.

CIN : L72411DL1990PLC042502

REGD. OFFICE: 16, COMMUNITY CENTRE, 1ST FLOOR, SAKET, NEW DELHI-110017

TEL. : 26517665, 26850004, 40590944 FAX : 91-011-41664840 E-mail : kfbf@airtelmail.in

Web : www.kothariyeast.in

16<sup>th</sup> October, 2017

To,  
The Manager- Listing,  
The Bombay Stock Exchange Limited,  
Phiroz Jeejeebhoy Towers,  
25<sup>th</sup> Floor, Dalal Street,  
Mumbai-400001

Scrip Code No : 507474

Subject: Compliance Certificate for the half year ended on 30<sup>th</sup> September, 2017.

Dear Sir,

We are hereby submitting the Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by the practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2017.

This is for your kind information and record.

Thanking You,

Yours sincerely,  
For Kothari Fermentation & Biochem Limited

(Kavita Tanwar)  
Company Secretary

Encl: a/a

**V.K.SHARMA & CO.**

*Company Secretaries*

422, Ocean Plaza, Sector-18, Noida-201 301

Tel. 4221470, Mobile: 9818816592

E-mail : vks\_cosecy@yahoo.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
(LISTING REGULATIONS)**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2017**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to M/s. **KOTHARI FERMENTATION & BIOCHEM LIMITED** maintained by **M/s ABHIPRA CAPITAL LIMITED** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> APRIL, 2017 TO 30<sup>th</sup> SEPTEMBER, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the Listing Regulations and based on the information provided by the Company, we hereby certify that the Company has delivered during the half year ended 30<sup>th</sup> September, 2017;

- A) Share certificates relating to the transfer of shares, exchange / renewal and endorsement of allotment money received during the period from 1st April, 2017 to 30<sup>th</sup> September, 2017 have been issued / dispatched within thirty days of the date of lodgement for transfer, exchange / renewal and endorsement of allotment money, as the case may be.
- B) There were no cases of requests for consolidation or sub-division during the period of review.

Place: Noida  
Date: 16<sup>th</sup> October, 2017

For: V. K. Sharma & Co.  
Company Secretaries

(Vijay Sharma)  
C. P. No. 2019  
FCS: 3440