



JIGNESH M. PANDYA & CO.

Company Secretaries

205, Shashi CHS Ltd., Behind Raghunath Tower, Devidas Road, Borivali (W), Mumbai - 400 103.
Tel. : +91 22 2808 4509 • Mob.: +91 98190 65068 • E-mail : jigneshpandyacs@gmail.com

The Board of Directors
Swan Energy Limited.
6, Felthem Hosue, 2nd Floor,
10, J.N. Heredia Marg, Ballard Estate,
Mumbai 400 001

Dear Sir,

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2017.

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **SWAN ENERGY LIMITED** maintained by **PURVA SHAREGISTRY (INDIA) PVT. LTD.** pertaining to transfer of equity shares of the company for the period from **1st April, 2017** to **30th September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for **SWAN ENERGY LIMITED** and based on the information provided by the Purva Sharegistry (India) Pvt. Ltd., I hereby certify that the Company has delivered during half year ended on **30th September, 2017:**

A) Share Certificate(s) relating to the transfer of Shares received during the period from **1st April, 2017** to **30th September, 2017** as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.



For **JIGNESH M PANDYA & CO.,**
Company Secretaries

J. Pandya

Proprietor

ACS - 7346, CP - 7318

Date:- 17th October, 2017