



# AuSom Enterprise Limited

Ref. No. : - AEL/SEC/STOCK/2017-2018

Date: - 10/10/2017

To,  
The Manager, DCS-CRD  
Corporate Relationship Department,  
Bombay Stock Exchange Limited,  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Mumbai- 400 001

To,  
The Listing Department, 5<sup>th</sup> Floor,  
National Stock Exchange of India Limited,  
"Exchange Plaza",  
Bandra-Kurla Complex,  
Bandra (East), Mumbai-400 051.

SECURITY CODE: 509009 || SECURITY ID: AUSOMENT || ISIN: INE218C01016 || SERIES: EQ

Dear Sir/Madam,

**Sub: - Submission of Compliance Certificate in respect of Regulation 40(9) of SEBI (LODR), 2015**

This has reference to captioned subject, and as per the requirement of the Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith a Compliance Certificate issued by Mr. Niraj Trivedi, Practising Company Secretary as per the Regulation 40(9) for the period commencing from 1st April, 2017 to 30th September, 2017.

You are requested to take note of the same and acknowledge.

Thanking you in anticipation.

Yours Sincerely,

**For, AuSom Enterprise Limited**

*Ravi-R.P*

**Ravikumar Pasi**  
Company Secretary



Encl:- Certificate as per Regulation 40(9)

Correspondence Address : 606 "SWAGAT", Near Lal Bungalow,  
C.G.Road, Ahmedabad-380 006. Phone : +91 79 2642 1422-1499  
Fax : +91 79 2656 9898 E-mail : ausom.ael@gmail.com www.ausom.in

Registered Office : 11-B, New Ahmedabad Industrial Estate,  
Sarkhej-Bavla Road, Village - Moraiya - 382 213.  
Dist. Ahmedabad, Gujarat, INDIA • CIN : L67190GJ1984PLC006746

## CERTIFICATE

(As per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

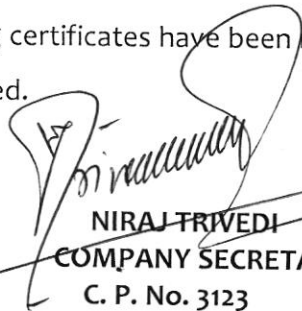
For the half year ended on 30<sup>th</sup> September, 2017.

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other relevant documents relating to **M/S. AUSOM ENTERPRISE LIMITED** being maintained by **M/s. Link Intime India Private Limited, Ahmedabad**, R&T Agent pertaining to transfer of Equity Shares of the company for the period from **01<sup>st</sup> April, 2017** to **30<sup>th</sup> September, 2017** for the purpose of issuing this Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and on the basis of the information provided by the Company, we hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2017**;

- A) Share Certificates relating to the Share Transfer Deeds / Transmission received during the period from **01<sup>st</sup> April, 2017** to **30<sup>th</sup> September, 2017** as entered in the Memorandum of Transfers have been issued within fifteen days from respective date of lodgment of each such deed excepting those rejected on technical grounds.
- B) No request for exchange of Duplicate / Split of existing certificates have been received for the half year under consideration and hence not commented.

Date: 10<sup>th</sup> October, 2017  
Place: Vadodara



  
**NIRAJ TRIVEDI**  
**COMPANY SECRETARY**  
C. P. No. 3123