

October 11, 2017

Scrip Code - 533520 BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, MUMBAI - 400 001

SORILHOLD National Stock Exchange of India Limited 'Exchange Plaza', Bandra-Kurla Complex, Bandra (East) <u>MUMBAI – 400 051</u>

<u>Sub</u>: Submission of a Compliance Certificate referred to in Regulation 40 (9), pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half-year ended September 30, 2017

Dear Sirs,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (hereinafter **"the Regulations"**), please find enclosed herewith a Compliance Certificate [referred to in Regulation 40 (9) of the Regulations] dated October 11, 2017 issued by B. D. Tapriya, Practicing Company Secretary, in respect of our Company for the half-year ended September 30, 2017.

Please acknowledge receipt of the said certificate.

Thanking you,

Yours sincerely, for **SORIL Holdings and Ventures Limited** (formerly Indiabulls Wholesale Services Limited)

Priva Jain Company Secretary



Encl: Certificate referred to above

SORIL Holdings and Ventures Limited

(formerly Indiabulls Wholesale Services Limited)

Corporate Office: Indiabulls House' 448-451, Udyog Vihar, Phase-V, Gurugram-122016 | Tel: 0124 6681199 | Fax: 0124 6681111 Registered Office: M-62 & 63, First Floor, Connaught Place, New Delhi-110001 | Tel: 011 30252900 | Fax: 011 30252901 CIN: L51101DL2007PLC166209, Website : www.sorilholdings.com, E-mail: helpdesk@indiabulls.com **B. D. TAPRIYA** LL.B., F.C.S Company Secretary

6C, Friends Apartments, 49, Patparganj, DELHI 110 092 Ph : 22242401 Fax : 011-42486485

Compliance Certificate for the half-year ended 30th September, 2017

[Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

I have examined all share transfer deeds, Memorandum of Transfers (MoTs), Registers, Files and other Documents and Papers relating to SORIL Holdings and Ventures Limited (formerly Indiabulls Wholesale Services Limited), New Delhi ("the Company"), maintained by its Registrar and Share Transfer Agent, Karvy Computershare Private Limited, Hyderabad, pertaining to transfer of Equity shares in the Company for the half-year ended 30th September, 2017 for the purpose of issuing a Compliance Certificate as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, and to the best of my knowledge, and on the basis of the information provided to me and the records and documents examined by me, I hereby certify that no requests had been received for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the half-year ended 30th September, 2017.

Place : New Delhi Date : October 11, 2017

B. B. TAPRIYA Company Secretary C.P. No. 2059

