

The IL&FS Financial Centre
Plot C-22, G-Block
Bandra Kurla Complex
Bandra East
Mumbai 400 051
India

T +91 22 2653 3333
F +91 22 2653 3056
W www.iimlindia.com

Corporate Identification No - L65999MH1986PLC147981

October 13, 2017

The Manager
The Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 023

NSE Symbol: IVC
BSE Security Code: 511208
ISIN : INE050B01023

Re : Certificate under Regulation 40(9)

Dear Sir :

Please find enclosed herewith the Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended March 31, 2017

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,



Manoj Borkar
Chief Financial Officer



Encl: as above



Rozy Empire, Silvassa Road,
Opp.: PWD Rest House, Vapi - 396 191,
Tele Fax: (0260) 2431655 9324545141,
Email : jppvapi13@yahoo.com

202, Prem Kutir CHS Ltd.
Prem Nagar, Near MCF Udyan,
Borivali (W) Mumbai 400091
Tel: (022) 2894 0483, 3067 5215

Date- 10.10.2017

To,
The Board of Directors
IL & FS Investment Managers Limited,
Plot No.C-22, G Block,
Bandra Kurla Complex,
Bandra (E), Mumbai 400 051

Dear Sirs,

Sub: - Certificate under Regulation 40 (9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

we have examined all Share Transfer Deeds, Register of Transfers, Registers, files and other documents relating to IL & FS Investment Managers Limited ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate as per Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, IL&FS Investment Managers Limited with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended 30th September, 2017 .

- A) Share Certificate(s) relating to the transfer of Shares received during the period from 1st April, 2017 to 30th September, 2017 as entered in the Memorandum of Transfers have been issued *within fifteen days* of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds. (As per Annexure "A")
- B) Share Certificates in respect of requests for issue of duplicate/replacement certificates have been issued within *thirty days* of lodgment. (As per annexure "A")
- C) Share Certificates in respect of requests for issue of transmission certificates have been issued *within 21 days* of lodgment. (As per annexure "A")

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

Company Secretaries,

Partner

Place: Mumbai

Date :-10.10.2017

Encl: Annexure - 'A'

M. Patel





IL & FS Investment Managers Limited

ANNEXURE - 'A'

DETAILS FOR THE PERIOD 1st April, 2017 to 30th September, 2017

TOTAL NO. OF TRANSFERS/DELETION DEED RECEIVED.	TOTAL NO. OF TRANSFER/DELETION FOR WHICH CERTIFICATES WERE DISPATCHED.	
	WITHIN 15 DAYS FROM DATE OF RECEIPT.	AFTER 15 DAYS FROM DATE OF RECEIPT
41	41	00

TOTAL NO. OF DUPLICATE/REPLACEMENT REQUEST RECEIVED.	TOTAL NO. OF DUPLICATE/REPLACEMENT CERTIFICATES DISPATCHED.	
	WITHIN 30 DAYS FROM DATE OF RECEIPT.	AFTER 30 DAYS FROM DATE OF RECEIPT
05	05	00

TOTAL NO. TRANSMISSION REQUEST RECEIVED.	TOTAL NO. OF TRANSMISSION CERTIFICATES DISPATCHED.	
	WITHIN 21 DAYS FROM DATE OF RECEIPT.	AFTER 21 DAYS FROM DATE OF RECEIPT
10	10	00

FOR JAGDISH PATEL & CO.
Unique Code No.: P1991GJ052300
Company Secretaries,

MP Patel

Partner
Place: Mumbai
Date :- 10.10.2017

