



Vaswani Industries Limited

POWER • SPONGE IRON • STEEL
CIN - L28939CT2003PLC015964



VIL/SECRETARIAL/2017-2018
11th October, 2017

BY EMAIL/REGISTERED POST/ FAX

National Stock Exchange of India Ltd,
Listing Department
Exchange Plaza, 5th Floor,
Plot No. C/1, G Block,
Bandra Kurla Complex,
Bandra (East),
Mumbai – 400 051

Bombay Stock Exchange Ltd.,
Listing Department
Market Operations Dept.
P. J. Towers,
Dalal Street,
Mumbai - 400 001

Dear Sir(s),

Ref: Certificate under Regulation 40(9) of SEBI (LODR) Regulation, 2015

With reference to the above caption, we hereby submit the Certificate under regulation 40 (9) of SEBI (LODR) Regulations, 2015 issued from Satish Batra & Associates, Company Secretaries for the half year ended 30th September 2017.

This is for your information and records.

Thanking You,
For Vaswani Industries Limited,

Yours Faithfully

Director,
For Vaswani Industries Limited


Ravi Kumar Vaswani
Managing Director
DIN: 00308616

SATISH BATRA & ASSOCIATES
COMPANY SECRETARIES

2nd floor, Ashoka Plaza, Ashoka Ratan,
Vidhan Sabha Marg, Shankar Nagar,
Raipur, 492007 (C. G.)

**CERTIFICATE UNDER REGULATION 40(9) THE SEBI (LISTING
OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION,
2015 FOR THE HALF YEAR ENDED ON 30TH SEPTEMBER, 2017**

We have examined all Share Transfer Deeds, Memorandum of Transfers, registers, files and other relating to **VASWANI INDUSTRIES LIMITED**, the Company, maintained by **LINK INTIME INDIA PRIVATE LIMITED**, pertaining to transfer of equity shares of the Company for the period from **1st April, 2017 to 30th September, 2017** for the purpose of issuing the certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 and based on the information provided by the Company, we hereby certify that the Company has delivered the certificates during the half year ended on **30th September, 2017**.

- a. Share certificates relating to the Shares / Debentures received during the period from **1st April, 2017 to 30th September, 2017** as entered in the Memorandum of Transfer have been issued within thirty days of the date of lodgment for transfer, sub division, consolidation, renewal, exchange or endorsement of calls / allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- b. Any other information: Nil.

For Satish Batra & Associates,
Company Secretaries



A handwritten signature in blue ink, appearing to read "S. K. Batra".

[S. K. BATRA]
FCS 1316, CP 2875

Date: 9th October, 2017
Place: Raipur (C. G.)