

APL/SECT/DLH/SE: 2017-18

17<sup>th</sup> October 2017

Electronic Filing

National Stock Exchange of India Limited  
"Exchange Plaza" Bandra-Kurla Complex,  
Bandra (E),  
Mumbai-400051

Department of Corporate Services/Listing  
BSE Limited  
Phiroze Jeejeebhoy Tower,  
Dalal Street, Fort,  
Mumbai-400001

NSE Symbol : APLAPOLLO

Scrip Code : 533758

Dear Sir/Madam,

Re: Regulation 40 (9) & (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, enclosed please find herewith a certificate dated 16<sup>th</sup> October 2017 from M/s Lath Deepak & Associates, a Practicing Company Secretary in compliance of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 certifying that for the six months period ended 30<sup>th</sup> September 2017, the Company/RTA has duly complied with the requirements of the abovesaid regulation in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Submitted for your kind reference & records.

Thanking you

Yours faithfully  
**For APL Apollo Tubes Limited**



**Adhish Swaroop**  
Company Secretary



Encl: a/a

**CERTIFICATE UNDER REGULATION 40(9) SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *M/s APL Apollo Tubes Limited* maintained by *M/s Abhipra Capital Limited* pertaining to transfer of Equity Shares of the Company for the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30<sup>th</sup> September, 2017**:

- (A) Shares(s) Certificate(s) relating to the transfer of share(s) received during the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** as entered in the Register of Transfers have been issued within fifteen days from the date of lodgment of transfer deed excepting those rejected on technical grounds.
- (B) Share(s) Certificate(s) in respect of requests for sub-division, consolidation, renewal, exchange or endorsement of the calls / allotment monies have been issued within thirty days from the respective date of lodgment of each deed excepting those rejected on technical grounds.
- (B) Any other information, if applicable : **NIL**

For LATH DEEPAK & ASSOCIATES  
(Company Secretaries)



*Deepak Lath*  
(DEEPAK KUMAR LATH)  
C. P. No. 3644

Date: October 16, 2017  
Place: Delhi