

PRIME

October 13, 2017

Corporate Relationship Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Fort, Mumbai 400001

Capital Markets-Listing
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor, Plot No. C/1,
G Block, Bandra Kurla Complex, Bandra (East),
Mumbai 400051

Dear Sir,

Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated October 13, 2017, from M/s. Pramod Shah & Associates, Practising Company Secretaries, for the Half-Year ended September 30, 2017.

This is for your information and records.

Thanking you,

Yours faithfully,
For **Prime Securities Limited**


Ajay Shah
Company Secretary
(ACS-14359)

Prime Securities Limited
1109 / 1110, Maker Chambers V,
Nariman Point, Mumbai 400021
CIN: L67120MH1982PLC026724
www.primesec.com

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Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.
Tel. : 91-22-2271 7700 / 91-22-2270 1040 • Email : saurabhshah@psaprofessionals.com • Website : cpspa.co.in

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015
FOR THE HALF YEAR ENDED SEPTEMBER 30, 2017**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *Prime Securities Limited* (the Company) as maintained by Company's Registrar and Share Transfer Agents *Link Intime India Pvt. Ltd. (the RTA)* pertaining to transfer of equity shares of the Company for the period from *April 01, 2017 to September 30, 2017* for the purpose of issuing a Certificate as per Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, *Prime Securities Limited* (the Company) with Stock Exchanges and based on the information provided by the Company, we hereby certify that during half year ended **September 30, 2017**:

- a. Share Certificate(s) relating to the transfer of Shares received during the period from *April 01, 2017 to September 30, 2017* as entered in the Memorandum of Transfers have been issued within Thirty days from the respective date of lodgment of each transfer deed excepting those rejected on technical grounds;
- b. Share Certificate(s) in respect of requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies have been issued within Thirty days from the respective date of lodgment excepting those rejected on technical grounds.

For Pramod S. Shah & Associates



Pramod S. Shah - Partner
Practising Company Secretary
Membership No. 334
C.O.P. No. 3804

Date: 13/10/2017
Place: Mumbai