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27.10.2017

To,

The Manager, Listing Department, National Stock Exchange of India Ltd, Exchange Plaza, C-1, Block-G, Bandra-Kurla Complex, Bandra (E), Mumbai - 400 051, Ph: No: 022-26598100 Scrip Code: GEOJITFSL - EQ To,

The Manager, Listing Department, The BSE Limited, Phiroze Jeejeebhoy Towers Dalal Street, Mumbai - 400 001, Ph No: 022-22721233 Scrip Code: 532285

Dear Sir/Madam,

Sub: Share Transfer Audit Report

Please find enclosed herewith the Share Transfer Audit Report for the half year ended 30th September, 2017 as required under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and record.

Thanking you, Yours faithfully,

For Geojit Financial Services Ltd

Liju K Johnson Company Secretary





Geojit Financial Services Ltd (formerly known as Geojit BNP Paribas Financial Services Ltd) Corporate Identity Number: L67120KL1994PLC008403, Registered Office: 34/659-P, Civil Line Road, Padivattom, Kochi-682024, Kerala, India. Phone: +91.484-2901000, Website.geojit.com, Eor investor queries customercare@geojit.com, For grevances grinvances@geojit.com, ARN RegriNos.0098, IRDA Corporate Agent (Composite) No: CA0226. Compliance office: Zechare K G, E-mail to compliance@geojit.com, Contact No. 0484.2901000 for SEBI Stock Broker Registration No IN2000104737 & Portfolio Manager/INP000003203. Compliance office: Indu K, E-mail Id. Indu_ki@geojit.com, Contact No. 0484.2901000, for Research Entity SEBI Reg No: INH200000345, Investment Adviser SEBI Reg No: INA200002817, NSDL, IN-DP-NSDL-24-97, CDSL, IN-DP-CDSL, 648-2012

SATHEESH AND REMESH COMPANY SECRETARIES



Bldg. No. 55/1682, 2nd Floor, "Krishna Apartments", M G Road, (Behind Duroflex Showroom), Ambady Lane Ravipuram, Ernakulam, Kochi - 682016 Ph : 0484 2356736 Mobile : 9447431347, 9746094939 Email : sathveeka001@gmail.com Service Tax No : ABLFS2799ASD002

TO WHOMSOEVER IT MAY CONCERN

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2017

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to Geojit Financial Services Limited maintained by its Registrar and Share Transfer Agents, S.K.D.C CONSULTANTS LIMITED pertaining to transfer of equity shares of the company for the period from 01st April, 2017 to 30th September, 2017 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board Of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company.

We hereby certify that there was no Transfer, Transmission, Transposition, Deletion of holder's name, change in name, attainment of majority and remat requests received during the half year ended on 30th September, 2017. All the demat requests received during the period were processed within 15 days and there are no pending documents for more that 15 days.

We further certify that there were no Share Certificates in respect of requests for exchange of consolidation, duplicate and split certificates received or issued during the half year ended on 30th September, 2017.



For Satheesh and Remesh **Company Secretaries**

N. Satheesh Kumar

Partner C P No.6607

Place : Kochi Date : 26.10.2017

S.K.D.C. CONSULTANTS LIMITED

CIN: U74140TZ1998PLC008301 Registrars and Share Transfer Agents III Software Consultants

To Whomsoever It may concern

We hereby certify that,

All the demat requests received during the period from 01.04.2017 to 30.09.2017 in respect of equity shares of M/s. Geojit Financial Services Ltd., were processed within 15 days and there is no pending document for more than 15 days.

No Transfer, Transmission, Transposition, Deletion of holder's name, Change in name, Attainment of majority and remat requests are received during the period from 01.04.2017 to 30.09.2017.

No split, issue of duplicate and consolidation of share certificate requests are received during the period from 01.04.2017 to 30.09.2017.

The register of members is updated while processing the demat.

No investor complaint received during the period 01.04.2017 to 30.09.2017.

Signature.

skdc

Coimbatore. 26.10.2017.

K. Narendra, Managing Director.

To

Geojit Financial Services Ltd 34/659-P Civil Line Road Padivattom Kochi 682024

Kanapathy Towers, 3rd Floor, 1391/A-1, Sathy Road, Ganapathy, Coimbatore - 641006 Phone : +91 0422 4958995, 2539835, 2539836 Fax : +91 0422 2539837 Email : info@skdc-consultants.com Web : www.skdc-consultants.com