

# KARTIK INVESTMENTS TRUST LIMITED

Parry House, 2<sup>nd</sup> Floor, No. 43, Moore Street, Parrys, Chennai - 600 001.

Phone : 044-2530 7123 Fax : 044-2534 6466

Website : [www.kartikinvestments.com](http://www.kartikinvestments.com)

CIN : L65993TN1978PLC012913

October 13, 2017

**The Secretary**  
**BSE Limited**  
**25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers**  
**Dalal Street, Fort**  
**Mumbai - 400 001**

Dear Sir,

**Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended 30<sup>th</sup> September 2017**

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from 1<sup>st</sup> April 2017 to 30<sup>th</sup> September 2017.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
for Kartik Investments Trust Limited

S Sangeetha  
Compliance Officer



13<sup>th</sup> October, 2017

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**


For the period from 1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017

ISIN : INE524U01019

SECURITY CODE: 501151

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Kartik Investments Trust Limited**, having its Registered office at "Parry House", II Floor, 43, Moore Street Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Karvy Computershare Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2017 to 30<sup>th</sup> September, 2017** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by, **Kartik Investments Trust Limited** with **BSE Limited** and pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company in relation to the half year ended **30<sup>th</sup> September, 2017** has not received any requests pertaining to transfer, transmission, subdivision, consolidation, renewal, exchange and issue of duplicate share certificate(s).

For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES

  
CS R. SRIDHARAN  
C.P.No.3239  
FCS No.4775  
UINS2003TN063400