FINKURVE FINANCIAL SERVICES LIMITED CIN: L65990MH1984PLC032403



Date: 18th October, 2017

To, The Manager (CRD), BSE Limited, Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai – 400 001

Scrip Code: 508954

<u>Subject:</u> Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015.

Dear Sir,

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligation and Disclosure Requirements) Regulations 2015, we submit herewith Certificate received from M/s. Manish Ghia & Associates, Practicing Company Secretaries for the half year ended 30th September, 2017.

Kindly take the same on record and acknowledge the receipt of the same.

Thanking you.

Yours Faithfully,

For Finkurve Financial Services Limited

Sunny Parekh

Company Secretary & Compliance Officer

Encl.: A/a



Manish Ghia & Associates

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CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2017

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to Finkurve Financial Services Limited having its registered office at 3rd Floor, Bullion House, 115, Tambakatta Lane, Zaveri Bazar, Mumbai - 400003, maintained by Sharex Dynamic (India) Pvt. Ltd., the Registrar & Share Transfer Agent of the Company pertaining to transfer of equity shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by Finkurve Financial Services Limited and based on information provided by the Company, we hereby certify that the Company has delivered during the half year ended on 30th September, 2017:

a) was not required to deliver any share certificates as it has not received any request for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls / allotment monies.

Place: Mumbai

Date: 17th October, 2017

For Manish Ghia & Associates
Company Secretaries

Manish L. Ghia

Partner

M. No. FCS: 6252, C. P. No. 3531