



16.10.2017

Lets Grow Together

To,

Regency INVESTMENTS LTD.

Non Banking Finance Company listed at Bombay Stock Exchange

BSE CODE 540175 | CIN NO. L67120PB1993PLC013169

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| The BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400 001 | Calcutta Stock Exchange Limited, 7, Lyons Range, Kolkata - 700001 |
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SUB: Compliance Certificate under Regulation 40(9) & (10) of SEBI (LODR) Regulations, 2015

Dear Sir/Madam,

Pursuant to Regulation 40(9) & (10) of SEBI (LODR) Regulations, 2015, we are hereby enclosing Compliance Certificate duly certified by Practicing Company Secretary for the half year ended September 30, 2017

Request you to kindly take this letter on record and acknowledge the receipt.

Thanking you.

For Regency Investments Limited

Gaurav kumar

Managing Director

DIN. 06717452

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**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LODR) REGULATIONS, 2015
(FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2017)**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Regency Investments Limited** maintained by **M/s. Mas Services Limited** pertaining to transfer of Equity Shares of the company for the period from **1ST April, 2017 to 30th September, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI(LODR) Regulations, 2015 and basing on the information provided by the Company and hereby certify that the company has delivered during half year ended on **30th September, 2017**.

A) Share Certificates relating to the transfer of Shares/ Debentures received during the period from **1ST April, 2017 to 30th September, 2017** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Any other information, if applicable – Nil.



(Reena)

Membership No 35020

Date: 16/10/17
Place: Chandigarh