

RAIL:SEC:2017

April 11, 2017

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| BSE Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai - 400001 Scrip Code - 520008 | National Stock Exchange of India Limited Exchange Plaza, 5 th Floor, Plot No.C/1, G Block Bandra-Kurla Complex Bandra (E) Mumbai - 400 051 Scrip Code - RICOAUTO |
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Sub : **Compliance Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/Madam,

Please find enclosed herewith a copy of Compliance Certificate issued by K.K. Sachdeva & Associates, Company Secretaries in practice for the period from 1st October, 2016 to 31st March, 2017.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
for **Rico Auto Industries Limited**



B.M. Jhamb
Company Secretary
FCS : 2446

Encl : As above

K.K.SACHDEVA & ASSOCIATES
COMPANY SECRETARIES
201, 3RD FLOOR, DR. MUKHERJEE NAGAR, DELHI-110 009
E-mail: sachdevakk@hotmail.com, Ph. 011-2760 8683, 98110 71577

Date: 11.04.2017

TO WHOMSOEVER IT MAY CONCERN

I have examined all share transfer deeds, memorandum of transfer, relevant books, papers, registers and documents of M/s. **Rico Auto Industries Limited** having its Registered Office at 38 K.M. Stone, Delhi-Jaipur Highway, Gurugram, Haryana-122001 maintained by M/s. **MCS Share Transfer Agent Limited**, Registrar and Transfer Agent having its office at F-65, 1st Floor, Phase-1, Okhla Industrial Area, New Delhi-110020 for the purpose of issuing certificate to the Company under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, in respect of effecting transfer, sub-division, consolidation, renewal, exchange, replacement or endorsement etc. of shares received by the Company during the six months period from **1st October, 2016 to 31st March, 2017** and based on my such examination as well as information and explanations given to me by the Company, which to the best of my knowledge and belief were necessary for the purpose, **I hereby report and certify:**

That all the shares received by the Company for transfer/split during the **aforesaid period of six months** have been duly transferred/splitted and issued by the Company within fifteen days from the date of lodgment with the Company.

That during the aforesaid period of six months, the Company did not receive any shares for consolidation, replacement, etc.

For K.K.SACHDEVA & ASSOCIATES
COMPANY SECRETARIES



K.K.SACHDEVA
Membership# FCS7153
CP# 4721