

Date: 17<sup>th</sup> April, 2017

<b>Bombay Stock Exchange Limited</b> Department of Corporate services Phirojee Jeejeebhoy Towers Dalal Street, Mumbai – 400023	<b>National Stock Exchange of India Limited</b> Exchange Plaza, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (E), Mumbai – 400051
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Dear Sir

**Subject : Submission of Certificates provided by Practicing Company Secretary for the Quarter ended on 31<sup>st</sup> March, 2017**

We wish to submit the following documents –

1. Reconciliation of Share Capital audit for the quarter ended on 31<sup>st</sup> March, 2017.
2. Certificate pursuant to Regulation 40(9) of the SEBI Listing Regulations for the half year ended on 31<sup>st</sup> March, 2017.
3. Certificate regarding Dematerialization and Transfer of Shares for the quarter ended on 31<sup>st</sup> March, 2017.

Please acknowledge the receipt of the same.

Thanking You

Yours Faithfully  
For Ester Industries Limited

*Dil*

**Diwaker Dinesh**  
Company Secretary



Encls: As Above

**RECONCILIATION OF SHARE CAPITAL AUDIT**  
**(Pursuant to Regulations 55A of SEBI (Depositories and Participant) Regulations 1996**  
**ESTER INDUSTRIES LIMITED**  
**FOR THE QUARTER ENDED ON 31<sup>st</sup> MARCH 2017**

01.	For Quarter Ended	31.03.2017	
02.	ISIN	INE 778B01029	
03.	Face Value	Rs. 5/-	
04.	Name of the Company	ESTER INDUSTRIES LIMITED	
05.	Registered office Address	Sohan Nagar, P.O. Charubeta, Khatima-262308, Distt. Udham Singh Nagar, Uttrakhand.	
06.	Correspondence Address	Plot No.-11-A, Infocity -1, Sector - 33-34, Gurgaon-122001 Haryana, India	
07.	Telephone & Fax Nos.	91-124-4572100-30, Fax no.: 91-124-4572199	
08.	Email Address	<a href="mailto:investor@ester.in">investor@ester.in</a>	
09.	Name of Stock Exchange where the Company's Securities are listed.	BOMBAY STOCK EXCHANGE NATIONAL STOCK EXCHANGE	
10.	Issued Capital	<b>Number of shares</b>	<b>% of total issued capital</b>
		83393759	100
11.	Listed Capital) exchange wise as per company records.	<b>Name of stock exchange</b>	<b>Listed capital</b>
		Bombay Stock Exchange (BSE) National Stock Exchange (NSE)	83393759
12.	Held in dematerialized form in CDSL	22360744	
13.	Held in dematerialized form in NSDL	59157675	
14.	Physical	1875340	
15.	Total no. of shares (12+13+14)	83393759	
16.	Reason for difference if any, between (10&11), (10&15) (11&15).	Nil	

Certifying the details of changes in share capital during the quarter under consideration as per table below:

Particulars***	No. of shares	Applied/not applied for listing	Listed on Stock Exchange Specify Names	Whether intimated to CDSL	Whether intimated to NSDL	In-prin app. pending for SE(specify names)
NIL						

\*\*\* Rights, Bonus, Preferential issue, ESOPs, Amalgamation, Conversion, buyback, Capital reduction, Forfeiture, any other (to Specify)

18.	Register of Members is updated (Yes/No) If not, updated which date.	YES
19.	Reference of pervious quarter with regards to excess dematerialized shares if any	NIL
20.	Has the company resolved the matter mentioned in point no.19 above in the current quarter? If not, reason why?	N.A.



21. Mentioned the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending 21 days with the reasons for delay.

Total No. of Demat Requests	No of Request	No of shares	Reasons for Delay
Confirmed after 21 days	-----	NIL	-----
Pending for more than 21 days.	-----	NIL	-----

22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Mr. Diwaker Agrawal Company Secretary TEL 91-124-4572100-30, Fax no.: 91-124-4572199
23.	Name, address, Tel & Fax No. Regn. No of the Auditor	<b>RSM &amp; CO.</b> Company Secretaries D-63, JFF COMPLEX, JHANDEWALAN NEW DELHI 110 055 Tel 011 23623813, Email. Info@csrsm.com
24.	Appointment of common agency for share registry work, If yes ( name and address)	MAS SERVICES LTD. T-34 IIND FLOOR, OKHLA INDUSTRIAL AREA PHASE – II, NEW DELHI – 110 020 Tel 011 26387281-82-83 Fax 011 26387384 Email <a href="mailto:sm@masserv.com">sm@masserv.com</a>
25.	Any other detail that the auditor may like to provide (e.g. BIFR Company, Delisting from SE, company changed its name, etc.	N.A.

For RSM & CO

Company Secretaries



Ravi Sharma

CP 3666

Date 14.04.2017  
Place NEW DELHI



**TO WHOMSOEVER IT MAY CONCERN**

**HALF YERALLY COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40(9) OF THE SEBI  
(LODR) REGULATIONS FOR THE HALF YEAR ENDED MARCH 2017**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ESTER INDUSTRIES LIMITED** maintained by at their share transfer agent **MAS SERVICES LIMITED** office at **T-34, IIND Floor, Okhla Industrial Area Phase - II, New Delhi 110 020** pertaining to transfer of equity shares of the company for the period from **01<sup>ST</sup> October, 2016 to 31<sup>ST</sup> March, 2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI(LODR) Regulations, 2015 and based on the information provided by the Company/Registrar and Share Transfer Agent, We hereby certify that during half year ended on **31<sup>ST</sup> MARCH, 2017.**

- A) The Company has delivered Share Certificate relating to the Share Transfer Deeds received during the period from **01<sup>ST</sup> OCTOBER, 2016 to 31<sup>ST</sup> MARCH, 2017** as entered in the Memorandum of Transfers, within 15 days from respective date of lodgment for transfer, subdivision, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds; and
- B) The Company has issued all the Share Certificates in respect of requests for exchange of duplicate and split certificates within 30 days of lodgment to the Company.

For R S M & Co.

Company Secretaries



*Ravi Sharma*

**RAVI SHARMA**  
C.P. No. 3666

Place: New Delhi  
Date: 17.04.2017

**CERTIFICATE**

We have examined all relevant Books, Registers, Forms, Documents and Papers of ESTER INDUSTRIES LIMITED (a company registered under the Companies Act, 1956) bearing registration No 20-15063 having registered office at Sohan Nagar, P.O. Charubeta, Khatima, Distt. Udham Singh Nagar, Utrakhand, relating to dematerialization of shares for period 01.01.2017 to 31.03.2017 do hereby confirm that Company has received 10 requests for dematerialization involving 1700 Equity Shares through Central Depository Services (India) Limited (CDSL), out of which nothing has been rejected.

Further it is also confirmed that:

1. The Company has followed the prescribed procedure for affecting the original transfer.
2. The Register of Members of the Company was accordingly amended and the shares were transferred in favour of the transferees.
3. The Company has adequate procedures and has satisfied itself that the transferee and the entity requesting dematerialization are one and the same and before confirming the dematerialization request, the Company has further amended the Register of Members to indicate the transfer from the transferees to Central Depository Services (India) Limited (CDSL).
4. The Company has defaced and cancelled / mutilated all the certificates.
5. The Company has adequate systems to ensure that the investor does not lose his corporate benefits on account of the transfer entries made in favour of Central Depository Services (India) Limited (CDSL).

Place: New Delhi  
Date: 14.04.2017

**FOR RSM & Co.**  
Company Secretaries

  
**RAVI SHARMA**  
C.P.No. 3666





**CERTIFICATE**

We have examined all relevant Books, Registers, Forms, Documents and Papers of ESTER INDUSTRIES LIMITED (a company registered under the Companies Act, 1956) bearing registration No 20-15063 having registered office at Sohan Nagar, P.O. Charubeta, Khatima, Distt. Udham Singh Nagar, Uttrakhand, relating to dematerialization of shares for period 01.01.2017 to 31.03.2017 and do hereby confirm that Company has received 32 requests for dematerialization involving 10700 Equity Shares for dematerialization through National Securities Depository Limited (NSDL), out of which 3000 equity shares have been rejected.

Further it is also confirmed that:

1. That Company has followed the prescribed procedure for affecting the original transfer.
2. The Register of Members of the Company was accordingly amended and the shares were transferred in favour of the transferees.
3. The Company has adequate procedures and has satisfied itself that the transferee and the entity requesting dematerialization are one and the same and before confirming the dematerialization request, the Company has further amended the Register of Members to indicate the transfer from the transferees to National Securities Depository Limited (NSDL).
4. The Company has defaced and cancelled / mutilated all the certificates.
5. The Company has adequate systems to ensure that the investor does not lose his corporate benefits on account of the transfer entries made in favour of National Securities Depository Limited (NSDL).

Place: New Delhi  
Date: 14.04.2017



**FOR RSM & Co.**  
Company Secretaries

**RAVI SHARMA**  
FCS 4468  
C.P.No. 3666