



(CIN : L65990DL1982PLC256291)

PANKAJ PIYUSH TRADE AND INVESTMENT LTD.

Regd. Off. : Office No. 202, 11nd Floor
Kotla Village, Mayur Vihar Phase-I
Delhi-110091 Phone : 011-23329654
E-mail : info@pptinvestment.com
Website : www.pptinvestment.com

Date: 24th April, 2017

To,
Department of Corporate Services
Bombay Stock Exchange,
Ground Floor, PJ Towers,
Dalal Street Fort,
Mumbai- 400001.

Subject: - Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015
Scrip Code: 506122

Dear Sir/Madam,

With reference to the above captioned matter, please find the enclosed Certificate pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 for the half year ended on 31st March, 2017.

Kindly acknowledge the receipt of the same.

Thanking You.

Yours faithfully,
For Pankaj Piyush Trade and Investment Limited,


Deepak Kumar Das
Company Secretary & Compliance Officer



Certificate under Regulation 40(9) of the SEBI (LODR) Regulations, 2015
For the half year ended 31.03.2017

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **Pankaj Piyush Trade & Investment Limited** pertaining to transfer of equity shares of the company for the period from **01.10.2016** to **31.03.2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- A. No requests for transfer of shares held in physical form have been received by the Company during the period from **01.10.2016** to **31.03.2017**. **Hence, no share certificates have been issued.**
- B. No share certificate in respect of the transmission of shares, duplicate share certificates, sub-division/consolidation of share certificates, renewal, exchange or endorsement of calls/allotment of monies during the period from **01.10.2016** to **31.03.2017**.

Date: 22-04-2017
Place: Delhi



A handwritten signature in blue ink that reads "Rachna Bhasin".

Rachna Bhasin
Practicing Company Secretary
Membership No. 23539