### Symbiox Investment & Trading Co Ltd

7A, Rani Rashmoni Road Kolkata - 700013 Phone No. 033-32515833 CIN: L65993WB1979PLC032012

Website: www.symbioxinvestment.com
Email ID: symbioxinvestment100@gmail.com

Date: 19.04.2017

To,

Compliance Department
Metropolitan Stock Exchange of India Limited
Vibgyor Towers, 4th floor, Plot No C 62, G - Block,
Opp. Trident Hotel, Bandra Kurla Complex,
Bandra (E),
Mumbai - 400 098

To,
Corporate Relationship Dept.,
The Calcutta Stock Exchange Limited
7 Lyons Range,
Kolkata – 700 001

To,

The Bombay Stock Exchange Limited

Corporate Relationship Dept, Phiroze Jeejeebhoy Towers Dalal Street Mumbai- 400001

Sub: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half-year ended 31st March, 2017

#### Ref. Script Code:- BSE-539278; MSEI- SYMBIOX; CSE- 029461

In compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith a copy of compliance certificate dated April 19, 2017 issued by Mr. Akhil Agarwal, Practicing Company Secretaries for the period from 1st October, 2016 to 31st march, 2017.

Kindly take the same on your record.

Thanking you.

Yours Faithfully,

For Symbiox Investment & Trading Co Ltd

Anchal Jaiswal (Company Secretary)

Encl: As stated above

# **BSAKHIL AGARWAL**Practising company Secretary

Akhil Agarwal,B.COM,ACS 506/1 grand trunk road,2<sup>nd</sup> floor, Howrah(south)-711101, INDIA

## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIESAND EXCHANGE BOARD OF INDIA(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,2015

### FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2017

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S Symbiox Investment & Trading Co Ltd maintained by Bigshare Services Private Limited pertaining to transfer of equity shares of the company for the period from 01.10.2016 to 31.03.2017 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing obligations and Disclosure Requirements) Regulations, 2015 entered into by, M/S Symbiox Investment & Trading Co Ltd with CSE; BSE & MCX and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2017

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1<sup>ST</sup> October, 2016 to 31<sup>ST</sup> March, 2017 as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information: Nil

Date: 19.04.2017 Place: Kolkata A35073

Membership No.:35073

C.P.No: 16313

