

29/LG/SE/APR/2017/GBSL

26<sup>th</sup> April, 2017

To,  
Listing Department,  
**Bombay Stock Exchange Limited,**  
Phiroze Jeejabhoy Towers, Dalal  
Street,  
Mumbai – 400 001  
Security Code :509079

To,  
Listing Department,  
**National Stock Exchange of India  
Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051  
Security Code : GUFICBIO

Dear Sir,

**Sub.: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Gajanan D. Athavale, Practicing Company Secretary, Mumbai for the half year ended 31<sup>st</sup> March, 2017.

Kindly take the same on your record.

Thanking you,

Yours faithfully,  
**For Gufic Biosciences Limited**



**Ami Shah**  
**Company Secretary**  
**Mem No. A39579**

Encl : A/a



## CERTIFICATE

[Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.]

To,  
The Board of Directors,  
Gufic Biosciences Limited (CIN L65990MH1984PLC033519)  
Mumbai.

BSE Scrip Code: 509079  
NSE Scrip Code: GUFICBIO  
ISIN: INE742B01025

Based on reports of M/s. Link Intime India Pvt. Ltd., the Company appointed RTA dated 06 April 2017, which was further supported by the management representation letter dated 26 April 2017; in that respect, I hereby certify that during the period starting from 01 October 2016 and ending with 31 March 2017, neither the Company nor its afore referred appointed RTA received any request for transfer / transmission / name deletion / sub-division / consolidation / renewal, etc., in respect of the physical shares holding, *except electronic share transfer and / or request for DEMAT / REMAT, in respect of which the certified reconciled depository position is provided by the Company appointed RTA by their letter dated 06 April 2017, as of 31 December 2016 and 31 March 2017.*



Gajanan D. Athavale  
Practicing Company Secretaries  
Membership No.: A-28306  
Certificate of Practice No.: 10121

Place: Mumbai  
Date: 26 April 2017