

April 11, 2017

**Scrip Code - 533520 BSE Limited** Phiroze Jeejeebhoy Towers, Dalal Street, MUMBAI – 400 001

**IBWSL** National Stock Exchange of India Limited 'Exchange Plaza' Bandra-Kurla Complex, Bandra (East) MUMBAI – 400 051

<u>Sub:</u> Submission of a Compliance Certificate referred to in Regulation 40 (9), pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half-year ended March 31, 2017

Dear Sirs,

Pursuant to Regulation 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended (hereinafter "the Regulations"), please find enclosed herewith a Compliance Certificate [referred to in Regulation 40 (9) of the Regulations] dated April 11, 2017 issued by B. D. Tapriya, Practising Company Secretary, in respect of our Company for the half-year ended March 31, 2017.

Please acknowledge receipt of the said certificate.

Thanking you,

Yours truly,

for SORIL Holdings and Ventures Limited

(formerly Indiabals 49hble) ale Services Limited)

Company Secretary

Encl: Certificate referred to above

**SORIL Holdings and Ventures Limited** 

(formerly Indiabulls Wholesale Services Limited)

Corporate Office: 'Indiabulls House' 448-451, Udyog Vihar, Phase-V, Gurugram-122016 | Tel: 0124 6681199 | Fax: 0124 6681111

Registered Office: M-62 & 63, First Floor, Connaught Place, New Delhi - 110001 | Tel: 011 30252900 | Fax: 011 30252901

CIN: L51101DL2007PLC166209, E-mail: helpdesk@indiabulls.com

## B. D. TAPRIYA

LL.B., F.C.S Company Secretary 6C, Friends Apartments, 49, Patpargani, DELHI 110 092 Ph: 22242401

Fax: 011-42486485

Compliance Certificate for the half-year ended 31st March, 2017

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015]

I have examined all share transfer deeds. Memorandum of Transfers (MoTs), Registers, Files and other Documents and Papers relating to SORIL Holdings and Ventures Limited (formerly Indiabulls Wholesale Services Limited), New Delhi ("the Company"), maintained by its Registrar and Share Transfer Agent, Karvy Computershare Private Limited, Hyderabad, pertaining to transfer of Equity shares in the Company for the half-year ended 31st March, 2017 for the purpose of issuing a Compliance Certificate as required under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, and to the best of my knowledge, and on the basis of the information provided to me and the records and documents examined by me, I hereby certify that no requests had been received for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies in respect of the Equity shares in the Company, during the half-year ended 31st March, 2017.

Place: New Delhi

Date: April 11, 2017

B. D. TAPRIYA Company Secretary C.P. No. 2059