

**Escorts Finance Limited**

Escorts Corporate Center  
15/5, Mathura Road, Faridabad-121003 (HR)  
Phone : 0129-2250222, 2564294  
Fax : 0129-4010532  
E-mail : escortsfinance@escorts.co.in  
Website : www.escortsfinance.com  
CIN : L65910CH1987PLC033652



April 28, 2017

**BSE Limited**

Floor 25, P J Towers,  
Dalal Street,  
Mumbai – 400 001

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Dear Sir/ Madam,

Please find enclosed herewith the Half Yearly Compliance Certificate for the period from October 1, 2016 to March 31, 2017 from Practicing Company Secretary under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

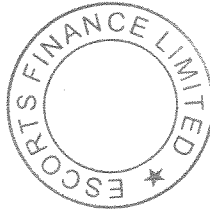
This is for your information and record.

Thanking You,

Yours' faithfully,

For **Escorts Finance Limited**

**Vicky Chauhan**  
Company Secretary



Encl: A/a

**UMESH KUMAR & ASSOCIATES**  
**COMPANY SECRETARIES**

Office: D-168, Ground Floor, Rishi Nagar,  
Rani Bagh Near Furniture Market  
New Delhi-110034  
Phone No. 91-9990137410, 91-9999550383  
E-Mail: [csumesh07@gmail.com](mailto:csumesh07@gmail.com)

To,

The Board of Directors,  
Escorts Finance Limited  
SCO 198-200, Second Floor, Sector-34A,  
Chandigarh-160022

Dear Sirs,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, I, hereby, confirm that I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Escorts Finance Limited** maintained by **M/s. Alankit Assignments Limited** pertaining to transfer of equity shares of the company for the period from **1/10/2016** to **31/03/2017** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Listing Agreement of which were entered into by **Escorts Finance Limited** with Bombay Stock Exchange (BSE) (The Stock Exchange) and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ending on **31<sup>st</sup> March, 2017** :

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1/10/2016** to **31/03/2017** as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies from respective date of lodgment of each deed.
- B) There was no any case for sub-division, duplicate, renewal, consolidation, exchange of certificates and endorsement for payment of call/ allotment money.

Place: New Delhi  
Date:26.04.2017



For UMESH KUMAR & ASSOCIATES  
COMPANY SECRETARIES

*Umesh Kumar*  
CS UMESH KUMAR  
C.P No- 8361