



Empire Industries Limited

SEC:SHR:2017-2018(417)

April 21, 2017

BSE Limited  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai - 400 023.

Company Scrip Code: 509525

Dear Sirs,

**Re: Submission of Compliance Certificate under Regulation 40 (9)  
for the Half Year ended 31.03.2017**

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose herewith the Compliance Certificate dated 19<sup>th</sup> April, 2017 from the Practicing Company Secretary, Ms. Deepa Gupta, for the half year ending 31<sup>st</sup> March, 2017.

Kindly acknowledge receipt.

Thanking you,

**Yours faithfully,  
For EMPIRE INDUSTRIES LIMITED**

**S. C. NANDA  
Director-Finance & Company Secretary**

Encl:

CIN: L17120MH1900PLC000176  
**Regd. Office:** Empire Complex, 414, Senapati Bapat Marg,  
Lower Parel, Mumbai 400 013, India.  
Tel.: 66555453 • Fax : 24939143  
E-mail: email@empiresecretarial.com • Web : www.empiremumbai.com

**Divisions**

Empire Machine Tools MFTM • Empire Machine Tools MCAT • Vitrum Glass  
Empire Industrial Equipment • Empire Vending (GRABBIT) • Empire Foods  
The Empire Business Centre • Empire Industrial Centrum

**DEEPA GUPTA**  
**Company Secretary**

Address:-512, Bawla Bldg. D-1, Muktai CHS, D. L. Marg, Chinchpokli, Mumbai 400 012.  
Mob: 09322697460 Email: deepaguptacs@gmail.com

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**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LODR)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2017**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Empire Industries Limited** maintained by M/s. Bigshare Services Pvt Ltd, having office at E-2, Ansa Ind Estate, Sakivihar Rd., Saki Naka, Andheri-(E), Mumbai 400072, the Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> October 2016 to 31<sup>st</sup> March 2017** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company we hereby certify that:

- A) Share Certificates relating to the transfer of Shares received during the period from **1<sup>st</sup> October 2016 to 31<sup>st</sup> March 2017** as entered in the Memorandum of Transfers have been issued within one month of the respective date of lodgement for transfer except those rejected on technical grounds.
- B) Share Certificates in respect of requests for exchange of duplicate and split certificates have been issued within one month of lodgement.

Date: 19<sup>th</sup> April, 2017  
Place: Mumbai



**Deepa Gupta**  
**Company Secretary**  
**M. No. 20860**  
**C.P. No. 8168**