

# KARTIK INVESTMENTS TRUST LIMITED

Parry House, 2<sup>nd</sup> Floor, No. 43, Moore Street, Parrys, Chennai - 600 001.

Phone : 044-2530 7123 Fax : 044-2534 6466

Website : [www.kartikinvestments.com](http://www.kartikinvestments.com)

CIN : L65993TN1978PLC012913

April 13, 2017

**The Secretary**  
**BSE Limited**  
**25<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers**  
**Dalal Street, Fort**  
**Mumbai - 400 001**

Dear Sir,

**Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended 31<sup>st</sup> March 2017**

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from 1<sup>st</sup> October 2016 to 31<sup>st</sup> March 2017.

Kindly take the same on record.

Thanking you,

Yours faithfully,  
for Kartik Investments Trust Limited

*S. Sangeetha*  
S Sangeetha  
Compliance Officer



13<sup>th</sup> April, 2017

**COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

For the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017

ISIN : INE524U01019

SECURITY CODE: 501151


We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Messrs. Kartik Investments Trust Limited**, having its Registered office at "Parry House", II Floor, 43, Moore Street Chennai - 600001 maintained by its Registrar and Share Transfer Agent viz., **Messrs Karvy Computershare Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 pertaining to transfer of equity shares of the company for the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Listing Agreement entered into by, **Messrs. Kartik Investments Trust Limited** with BSE Limited and pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanations furnished to us, which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended 31<sup>st</sup> March, 2017 delivered all the share certificates relating to the share transfer deeds received during the period from 1<sup>st</sup> October, 2016 to 31<sup>st</sup> March, 2017 as entered in the Memorandum of Transfers duly endorsed within Thirty days from the date of lodgment for transfer as stipulated in the LODR Regulations, 2015 excepting those rejected on technical grounds.

During the aforementioned period, **Messrs. Kartik Investments Trust Limited** has received requests for duplicate and consolidation of share certificates and the same has been delivered within the stipulated time period as stated in the LODR Regulations, 2015.

During the aforementioned period, **Messrs. Kartik Investments Trust Limited** has not received any requests pertaining to transmission, subdivision, renewal and Deletion of share certificate(s).

For R. SRIDHARAN & ASSOCIATES  
COMPANY SECRETARIES



  
CS R. SRIDHARAN  
C.P.No.3239  
FCS No.4775  
UIN: S2003TN063400