



**Dhampur Sugar Mills Limited**

241, Okhla Industrial Estate, Phase III

New Delhi - 110 020, India

Tel: +91-11-3065 9400, 4161 2456

Fax: +91-11-2693 5697

E-mail: corporateoffice@dhampur.com

Website: www.dhampur.com

20.04.2017

To,

Asst. Vice President  
National Stock Exchange of India Ltd.  
Exchange plaza, Bandra Kurla Complex  
Bandra (E)  
Mumbai - 400 051

Asst. General Manager  
Dept of Corp. Services,  
BSE Limited  
P.J. Towers, Dalal Street, Fort,  
Mumbai: 400001.

Dear Sir,

**Sub : Reconciliation of Share Capital Audit Report and Certificate under regulation 40 of SEBI ( Listing Obligations and Disclosure Requirements) Regulations , 2015**

Please find enclosed herewith Reconciliation of Share Capital Audit Report pursuant to Regulation 55A of SEBI (Depositories & Participants) Regulations, 1996 and certificate under regulation 40 of SEBI (Listing Obligations and Disclosure Requirements) Regulations , 2015

You are requested to take the information on record.

Thanking you,

Yours Faithfully,

For Dhampur Sugar Mills Limited

  
Aparna Goel  
Compliance Officer



## RECONCILIATION OF SHARE CAPITAL AUDIT

1	For the Quarter ended	31 <sup>st</sup> March, 2017					
2	ISIN	Fully Paid up : INE 041A01016 Partly Paid up : ISIN-IN9041A01022					
3	Face value	Fully Paid up : Rs.10/- per share Partly Paid up : Rs.5/- per share					
4	Name of The Company	DHAMPUR SUGAR MILLS LTD (CIN : L15249UP1933PLC000511)					
5	Registered Office Address	Dhampur, District Bijnore (UP)					
6	Correspondence Address	241, Okhla Industrial Estate, Phase III, New Delhi – 110020					
7	Telephone & Fax No.	011- 30659453					
8	Email Address	dsmico@gmail.com					
9	Name of the Stock Exchanges where the Company's securities are listed	BSE Limited National Stock Exchange, Mumbai					
		Number of Shares		% of Total Issued capital			
		Fully Paid up	Partly Paid up	Fully Paid up	Partly Paid up		
10	Issued Capital	66385485	2105	99.997	0.003		
11	Listed capital (Exchange wise)						
	- BSE Limited	66385485	2105	99.997	0.003		
	- National Stock Exchange	66385485	2105	99.997	0.003		
12	Held in dematerialized form in CDSL	13631910	458	20.533	0.0006		
13	Held in dematerialized form in NSDL	52289277	1334	78.765	0.002		
14	Physical	464298	313	0.699	0.0004		
15	Total No. of shares (12+13+14)	66385485	2105	99.997	0.003		
16	Reasons for difference if any, between (10&11), (10&15), (11&15)	No Difference					
17	Certifying the details of changes in share capital during the quarter under consideration as per Table below						
	Particulars	No. of Shares	Applied / Not applied for listing	Listed on Stock Exchanges (Specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In principal approval pending
	No	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.
	*** Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any Other ( to specify)						
18	Register for Members is updated (yes / No) If not updated upto which date	Yes					
19	Reference of Previous quarter with regards to excess dematerialized shares, if any	N.A.					



20	Has the company resolved the matter mentioned in point no. 19 above in the current qtr.? If not, reason why ?	N.A.		
21	Mention the total No. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with reason of delay			
	Total No. of demat requests	No. of requests	No. of Shares	Reason for delay
	Confirmed after 21 days	1	12	Late received from D.P.
	Pending beyond 21 days but accepted within 30 days	Nil	Nil	N.A.
	Rejected after 21 days	Nil	Nil	N.A.
22	Name, Telephone & Fax No. of the Compliance Officer of the Company	Aparna Goel 241-Okhla Industrial Estate Phase III New Delhi-110 001 Ph. No. : 011- 30659400, Fax : 011-26935697		
23	Name, Address, Tel. & Fax No. Regn. of the Auditor	GSK & Associates, Company Secretaries, 14-Ratan Mahal, Civil Lines, Kanpur-208001 cssaket.associates@gmail.com Ph. No. (0512) 2303234, Fax No. (0512) 2303235, C.P. No. 2565		
24	Appointment of common agency for share registry work. If yes, give name & address	Alankit Assignment Ltd., 2E/21, Jhandewalan Extension, New Delhi – 110 055		
25	Any other detail that the auditor may like to provide (e.g. BIFR Company, delisting from SE, company changed its name, etc)	Nil		

**For GSK & Associates  
Company Secretaries**



**Saket Sharma**  
(Partner)  
C.P. No: 2565  
M. No: F4229  
Date: 19.04.2017  
Place: New Delhi

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED MARCH 31, 2017**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents of Dhampur Sugar Mills Limited, having registered office at Dhampur, Distt Bijnore (UP) maintained by M/s Alankit Assignments Limited (Share Transfer Agent of Dhampur Sugar Mills Limited), having office at Jhandewalan Extn. New Delhi pertaining to transfer of equity shares of the company from October 1, 2016 to March 31, 2017 for the purpose of issuing Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015. Based on the information provided by the Share Transfer Agent, we hereby certify that the Share Transfer Agent has delivered during half year ended on March 31, 2017:

- A) Share Certificate(s) relating to the transfer of shares received during the period from October 1, 2016 to March 31, 2017 as entered in the Memorandum of Transfers, have been issued within stipulated time for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Duplicate share certificates containing 50 shares were issued during the period.
- C) *No other information*

For GSK & Associates  
Company Secretaries

  


Saket Sharma  
(Partner)

C.P. No: 2565

M. No: F4229

Date: 19.04.2017

Place: New Delhi