



Tube Investments of India Ltd.

Dare House, 234, N.S.C. Bose Road, Chennai 600 001, India
Tel: 91.44.4217 7770-5 Fax: 91.44.4211 0404
Website: www.tiindia.com CIN: L35921TN1949PLC002905

12th April, 2017

The Manager
Listing Department
National Stock Exchange of India Ltd
Exchange Plaza, 5th Floor
Plot No.C-1, Block G
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051

BSE Limited
1st Floor
New Trading Ring, Rotunda Building
P J Towers, Dalal Street
Fort
Mumbai 400 001

Dear Sirs,

**Sub: Submission of certificate in terms of Regulation 40(9 & 10) of SEBI
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed a certificate issued by the Practicing Company Secretary as required under Regulation 40(9&10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the period from 1st October, 2016 to 31st March, 2017.

Yours faithfully,
For TUBE INVESTMENTS OF INDIA LIMITED

A K Anantharamakrishnan
General Manager (Secretarial)

Encl:

11th April, 2017

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1st October, 2016 to 31st March, 2017

ISIN: INE 149A01025

SECURITY CODE:504973

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Messrs. Tube Investments of India Limited** having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai - 600001, maintained by its Registrar and Share Transfer Agent viz., **Messrs. Karvy Computershare Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad - 500 032 of the company for the period from 1st October, 2016 to 31st March, 2017 for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Listing Agreement entered into by, **Messrs. Tube Investments of India Limited** with the **BSE Limited** pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended 31st March, 2017 delivered all the share certificates relating to the share transfer deeds received during the period from 1st October, 2016 to 31st March, 2017 as entered in the Memorandum of Transfers duly endorsed within Thirty days from the date of lodgment for transfer as stipulated under the LODR Regulations, 2015 excepting those rejected on technical grounds.

During the aforementioned period, **Messrs. Tube Investments of India Limited** has received requests for transmission of shares and also request for new certificates in respect of cases lodged for Deletion and transposition share certificates and the same has been delivered within the stipulated time period as stated in the LODR Regulations, 2015.

During the aforementioned period, **Messrs. Tube Investments of India Limited** has not received any requests for Duplicate, Subdivision, consolidation and renewal of share certificates.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R:SRIDHARAN
C.P.No.3239
FCS No.4775
UIN: S2003TN063400

11th April, 2017

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1st October, 2016 to 31st March, 2017

ISIN. INE 149A01025

SYMBOL: TUBEINVEST

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **Messrs. Tube Investments of India Limited** having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent viz., **Messrs. Karvy Computershare Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 of the company for the period from 1st October, 2016 to 31st March, 2017 for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Listing Agreement entered into by, **Messrs. Tube Investments of India Limited** with the **National Stock Exchange of India Limited** pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (LODR) and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended 31st March, 2017 delivered all the share certificates relating to the share transfer deeds received during the period from 1st October, 2016 to 31st March, 2017 as entered in the Memorandum of Transfers duly endorsed within Thirty days from the date of lodgment for transfer as stipulated under the Listing Regulation, 2015 excepting those rejected on technical grounds.

During the aforementioned period, **Messrs. Tube Investments of India Limited** has received requests for transmission of shares and also request for new certificates in respect of cases lodged for Deletion and transposition share certificates and the same has been delivered within the stipulated time period as stated in the LODR Regulations, 2015.

During the aforementioned period, **Messrs. Tube Investments of India Limited** has not received any requests for Duplicate, Subdivision, consolidation and renewal of share certificates.

For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES



CS R.SRIDHARAN
C.P.No.3239
FCS No.4775
UIN: S2003TN063400

 R.Sridharan & Associates
Company Secretaries

TUBE INVESTMENTS OF INDIA LIMITED