



CERTIFICATE



AN ISO 9001-2008 COMPANY

DOLPHIN OFFSHORE ENTERPRISES INDIA LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-04-2017 TO 30-06-2017

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS | OPENING BALANCE | STOCK EXCHANGE | SEBI | OTHERS | TOTAL RECEIVED | REDRESSED | PENDING |
|---------|---|-----------------|----------------|----------|----------|----------------|-----------|----------|
| 1 | DELAY IN TRANSFER OF SHARES. | | | | | | | |
| 2 | NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES. | | | 2 | | 2 | 2 | |
| 3 | DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES. | | | | | | | |
| 4 | DELAY/ NON RECEIPT OF ANNUAL REPORTS. | | | | | | | |
| 5 | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES. | | | | | | | |
| 6 | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES. | | | | | | | |
| 7 | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER. | | | | | | | |
| 8 | DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC. | | | | | | | |
| 9 | MANIPULATION IN THE ACCOUTS STATEMENTS. | | | | | | | |
| 10 | UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS. | | | | | | | |
| 11 | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS. | | | | | | | |
| 12 | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS. | | | | | | | |
| | TOTAL | 0 | 0 | 2 | 0 | 2 | 2 | 0 |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)