

Dated: 03<sup>rd</sup> July, 2017

To,
The Manager (CRD)
BSE Limited,
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai - 400001

**Sub.: Status of Investor Complaints** 

Scrip Code: 508980

Dear Sir/Madam,

Please find attached herewith the statement of Grievance Redressal Mechanism as per Regulation 13 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the quarter ended 30<sup>th</sup> June, 2017

Kindly acknowledge the same.

Thanking You,

Yours faithfully,

**For Frontier Capital Limited** 

Usha Iyengar Director

DIN: 03447551

**Frontier Capital Limited** 

7th Floor, Tower 1, Equinox Business Park, Peninsula Techno Park, LBS Marg, Kurla (west),

Mumbai - 400070

CIN: L65990MH1984PLC033128

T: +91-22 33826259 F: +91-22 33826123

W: www.frontiercapital.in



Dated: 03<sup>rd</sup> June, 2017

To,
Deputy General Manager
Corporate Relationship Department,
The Stock Exchange, Mumbai,
14<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai - 400001

Sub: Submission of Statement for Investor Compliant for Quarter 1 of FY 2017-18

Ref: Compliance to Regulation 13 of LODR, 2015.

Respected Sir,

As per Regulation 13(2) we have registered with SEBI Scores and Statement of Investor Complaints status for 1<sup>st</sup> Quarter ended on 30<sup>th</sup> June, 2017 is as follows:

| Particulars                             | No. of Complaints |  |  |
|---|-------------------|--|--|
| Pending at the Beginning of the Quarter | NIL               |  |  |
| Received during the Quarter             | NIL               |  |  |
| Disposed-off during the Quarter         | NIL               |  |  |
| Remaining unresolved at the end of the  | NIL               |  |  |
| Quarter                                 |                   |  |  |

Thanking You,

For Frontier Capital Limited

Director

DIN: 03447551

**Frontier Capital Limited** 

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## **CERTIFICATE**



## FRONTIER CAPITAL LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS REPORT FOR THE PERIOD : 01-04-2017 TO 30-06-2017

| SRL.NO. | NATURE OF REQUESTS/COMPLAINTS   | OPENING<br>BALANCE | STOCK<br>EXCHANGE | SEBI | OTHERS | TOTAL<br>RECEIVED | REDRESSED   | PENDING |
|---------|---|--------------------|-------------------|------|--------|-------------------|-------------|---------|
| 1       | DELAY IN TRANSFER OF SHARES.  | æ                  | 1                 | ×    | 1      | <u>a</u>          | 727         |         |
| 2       | NON-RECEIPT OF SHARES/ DIVIDENDS/<br>RIGHTS/ BONUS SHARES.  | <u> </u>           | 8                 | 8    | 20)    | Þ                 | ٠           | Ri      |
| 3       | DELAY/ NON-RECEIPTS IN ISSUE OF<br>DUPLICATE SHARES.  |                    | 9.                |      | jų.    | Ж                 | (₩)         | 5       |
| 4       | DELAY/ NON RECEIPT OF ANNUAL REPORTS.   | 39                 | ×                 | (4   | i      | a.                | <b>(#</b> ) | -       |
| 5       | DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.  | *                  | 9                 | 3    | C      | i.                | 224         | 12      |
| 6       | DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.   | Ą                  | 9                 | - 20 | 32     | =                 | -           | (8      |
| 7       | DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.   | E.                 | (B)               | 70   | Ξ      |                   | ##S         | 575     |
| 8       | DELAY/ NON-PAYMENT OF SALE PROCEEDS<br>BY THE BROKER ETC.   | :753               | ಮ                 | =27  | я      | =                 |             | 18      |
| 9       | MANIPULATION IN THE ACCOUTS STATEMENTS.   | æ                  | *                 | =:   | ÷      | ж                 | æ:          | *       |
| 10      | UNAUTHORIZED TRADES AND<br>UNAUTHORIZED MOVEMENTS OF SHARES<br>AND FUNDS FROM THE CLIENT' ACCOUNTS. | 8                  | 20                | 20   | #      | 9                 |             | 92      |
| 11      | DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.  | 9                  | 19                |      | 9      | ≅.                |             | 2       |
| 12      | DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.   | 8                  |                   | -    |        | 15                | <b>≒</b> 8  | -       |
|         | NIL COMPLAINT RECEIVED  | 0                  | 0                 | 0    | 0      | 0                 | 0           | 0       |

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)