

*Mr. F. A. Vakil*

Flat No.301, Shamiana, 67, E& F, Walkeshwar Rd, Mumbai 400 006

8<sup>th</sup> January, 2018

Department of Corporate Service,  
The Bombay Stock Exchange Ltd.,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai – 400 001.

Dear Sir,

Sub: Compliance under SEBI (Prohibition of Insider Trading) Regulations 2015 and under SEBI (Substantial Acquisition of Shares & Takeovers) regulations 2011

I, Mr. Firoze Vakil, one of the promoters of Uni Abex Alloy Products Ltd., am forwarding the following disclosure in respect of 270 (0.0136%) equity shares of the Company sold on 5/1/17 :-

1. Disclosure dated 8/1/17 under regulation 29 (2) of SEBI (Substantial Acquisition of Shares & Takeovers) regulations 2011.
2. Disclosure dated 8/1/17 under (Regulation 7(2) read with Regulation 6(2)) of SEBI (Prohibition of Insider Trading) Regulations 2015.

Thanking you,

Yours truly,



F. A. Vakil

encl: a/a

frp

cc: Uni Abex Alloy Products Limited,  
Sir V. T. Marg,  
Mumbai – 400 020.

**Format for disclosures under Regulation 29(2) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011**

1. Name of the Target Company (TC)	Uni Abex Alloy Products Ltd.		
2. Name(s) of the acquirer and Persons Acting in Concert (PAC) with the acquirer	Mr. Firoze Adi Vakil		
3. Whether the acquirer belongs to Promoter/Promoter group	Yes		
4. Name(s) of the Stock Exchange(s) where the shares of TC are Listed	The Bombay Stock Exchange Ltd.		
5. Details of the acquisition / disposal/holding of shares/voting rights/holding of the Acquirer and PAC	Number	% w.r.t. total share/voting capital wherever applicable (*)	% w.r.t. total diluted share/voting capital of the TC(**)
<u>Before the acquisition/disposal under consideration, holding of:</u>			
a) Shares carrying voting rights	270	0.0136%	0.0136%
b) Shares in the nature of encumbrance(pledge/lien/non-disposal undertaking/others)	NIL	NIL	NIL
c) Voting rights (VR) otherwise than by shares	NIL	NIL	NIL
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the T C (specify holding in each category)			
<b>Total (a+b+c+d)</b>	<b>270</b>	<b>0.0136%</b>	<b>0.0136%</b>
<u>Details of acquisition/sale</u>			
a) Shares carrying voting rights acquired/sold	270	0.0136%	0.0136%
b) VRs acquired /sold otherwise than by shares	NIL	NIL	NIL
c) Warrants/convertible securities/any other instrument that entitles the acquirer to	NIL	NIL	NIL

receive shares carrying voting rights in the TC (specify holding in each category) acquired/sold d) Shares encumbered/invoked/released by the acquirer			
Total (a+b+c+d)	270	0.0136%	0.0136%
<u>After the acquisition/sale, holding of:</u>			
a) Shares carrying voting rights	NIL	NIL	NIL
b) Shares encumbered with the acquirer	NIL	NIL	NIL
c) VRs otherwise than by shares	NIL	NIL	NIL
d) Warrants/convertible securities/any other instrument that entitles the acquirer to receive shares carrying voting rights in the TC (specify holding in each category) after acquisition.	NIL	NIL	NIL
Total (a+b+c+d)	NIL	NIL	NIL
6. Mode of acquisition / sale (e.g. open market / off-market / public issue / rights issue / preferential allotment / inter-se transfer etc).	Open Market		
7. Date of acquisition / sale of shares / VR or date of receipt of intimation of allotment of shares, whichever is applicable	5.1.2018		
8. Equity share capital / total voting capital of the TC before the said acquisition / sale.	Rs.1,97,50,000/-		
9. Equity share capital/ total voting capital of the TC after the said acquisition / sale	Rs.1,97,50,000/-		
10. Total diluted share/voting capital of the TC after the said acquisition/sale.	Rs.1,97,50,000/-		

(\*) Total share capital/voting capital to be taken as per the latest filing done by the company to the Stock Exchange under Clause 35 of the listing Agreement

(\*\*) Diluted share/voting capital means the total number of shares in the TC assuming full conversion of the outstanding convertible securities/warrants into equity shares of the TC.

Signature of the acquirer / seller / Authorised Signatory

Place: Mumbai

Date: 8/1/2018