



# SIMMONDS MARSHALL LIMITED

Regd. Office & Factory :  
Mumbai-Pune Road, Kasarwadi, Pune 411 034.  
Tel. : (91-020) 3078-2160 / 3078-2170  
Fax : (91-020) 3078-2195  
E-Mail : [smlpune@simmondsmarshall.com](mailto:smlpune@simmondsmarshall.com)  
CIN : L29299PN1960PLC011645

Admn. & Sales Office :  
Apeejay Chambers, 5, Wallace Street, Mumbai-400 001.  
Tel. : (91-022) 6633-7425 / 6633-7426 / 6633-7427  
Fax : (91-022) 6633-7433 / 6633-7434  
E-Mail : [sales@simmondsmarshall.com](mailto:sales@simmondsmarshall.com)  
Website : [www.simmondsmarshall.com](http://www.simmondsmarshall.com)

January 18, 2018.

To  
BSE LTD.  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Ref: Security Code No. 507998

Sub: Statement on Investor Complaints under Regulation 13(3) of SEBI (LODR) Regulation, 2015 for the quarter ended December 31, 2017.

Dear Sir,

With reference to the captioned subject, we hereby state that there was following investor complaint received and resolved during the quarter ended December 31, 2017:

Sr. No	Nature of Compliant	Opening Balance	Stock Exchange	SEBI	Others	Total Received	Redressed	Pending
1	Non-receipt of shares/ dividends/ Rights/ bonus shares.	0	0	0	1	1	1	0

Kindly take the above information on your records.

We are also enclosing herewith a copy of the Certificate received from the Registrar & Transfer Agent for your information / records.

Thanking You.

Yours faithfully,

For SIMMONDS MARSHALL LIMITED

  
N. S. MARSHALL  
MANAGING DIRECTOR  
(DIN: 00085754)





# CERTIFICATE



AN ISO 9001-2008 COMPANY

## SIMMONDS MARSHALL LIMITED.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2017 TO 31-12-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.							
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.				1	1	1	
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.							
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.							
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.							
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.							
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.							
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.							
9	MANIPULATION IN THE ACCOUTS STATEMENTS.							
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.							
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.							
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.							
	<b>TOTAL</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>1</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)