

Scrip Code: 539199

To,

The Manager,
Department of Corporate Regulations,
BSE Limited
P J Towers, Dalal Street,
Mumbai-400001

Reg: Submission of Documents for the 3rd Quarter end 31th December, 2017.

Dear Sir/Madam,

Please find herewith documents for the 3rd Quarter, 31st December, 2017 as per the SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015.

S.NO.	Particulars	
1.	Statement on Investors Complaints a per Regulation 13(3)	

Hope the above said documents will suffice your requirement.

Kindly Acknowledge, Thanking You

Yours Truly,

For Moongipa Securities Limited

(Sanjeev Jain)

Company Secretary & Compliance Office

Date: 10th January, 2018

Place: New Delhi



Scrip Code: 539199

To,

The Manager,
Department of Corporate Regulations,
BSE Limited
P J Towers, Dalal Street,
Mumbai-400001

Dear Sir/Madam,

Sub: <u>Investors Grievances Report for the 3rd quarter ended 31st December, 2017.</u>
Ref: <u>Regulation 13(3) SEBI (Listing Obligation and Disclosure Requirements) Regulation, 2015.</u>

With response to the Regulation 13(3) Regulations, 2015, we herewith submit the report on investor grievances for the 3<sup>rd</sup> quarter ended 31st December, 2017 on the basis of the statement downloaded from SCORES as required under Reg. 13(3) SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Statement Grievances, Redressal Mechanism for the quarter ended 31.12.2017. (Pursuant to the Regulation 13(3) of SEBI (Listing Obligation and Disclosures Requirements) Regulations, 2015.

Particulars	No. of Investor Complaints					
Pending at the beginning of the	Nil					
quarter(01.10.2017)						
Received during the quarter	Nil					
Disposed of during the quarter	Nil					
Remaining unresolved at the end of the quarter(31.12.2017)	Nil					

Kindly Acknowledge,

Yours Truly,

For Moongipa Securities Ltd.

Company Secretary & Compliance Of

Date: 10th January, 2018

Place: New Delhi

## Securities and Exchange Board of India Welcome: Sanjeev Jain, Company Secretary & compliance Officer, Moongipa Securities Ltd

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## Complaints Status as on: 10/01/2018

Total Complaints Received	0
Total Resolved Complaints	0
Total Pending Complaints	0 0
Total Complaints Withdrawn	
ATR/REPLY Sent and Pending With SEBI	
Review(s) received	Click Here
New Complaints(For Assessment)	
Clarification Sought By SEBI/Higher Organization	
Action Taken Report Received	
Action Taken Report Sent	
Pending Complaints(Within Our Office)	
Pending Complaints(With Investor)	
Pending Complaints(With Subordinate Organisations)	
Pending Complaints(With Subordinate Organisations - Atr not Received)	
Reminder/Clarification received from investor/SEBI	
Clarification sought from subordinate organisation	

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## Skyline Financial Services Pvt. Ltd.

SEBI Registered Category-1 Registrars & Share Transfer Agent

Regd. & Corp. Office: D-153A, 1st Floor, Okhla Industrial Area, Phase-I, New Delhi - 110 020 Tel.: +91-11-64732681-88, 26812682-83 E-mail: info@skylinerta.com Website: www.skylinerta.com CIN No.: U74899DL1995PTC071324

January 05, 2018

REF: SFSPL/QTR/DECEMBER/2017 /200

COMPANY SECRETARY

MOONGIPA SECURITIES LIMITED

18/14, W. E. A PUSA ROAD

KAROL BAGH

NEW DELHI - 110005

Sub: Statement of Investor Complaints as per Regulation 13(3) of (LODR), 2015

Dear Sir/Madam,

This is to inform you that our Company M/s. Moongipa Securities Limited having CIN: L74899DL1994PLC057941 is registered on the SCORES platform of the SEBI in order to handle investor's complaints electronically.

As required under regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations 2015, this is the list of complaints received from complainants, complaints resolved as pending for consideration.

Number of investors complaints pending at the beginning of the quarter starting from 1st October 2017	received during the Quarter From 1st October 2017	the Quarter From 1st October 2017	unresolved at the end of Quarter
NIL	NIL	NIL	NIL

We hereby certify that we have no pending complaints at our end for the period.

Thanking you,

For Skyline Financial Services Pvt. Limited

Vijay Kumar

(Authorised Signatory)