

Dated: 10/1/2018

To,  
The Manager (CRD)  
BSE Limited,  
Phiroze Jeejeebhoy Towers  
Dalal Street, Fort  
Mumbai - 400001

**Sub.: Status of Investor Complaints**

**Scrip Code: 508980**

Dear Sir/Madam,

Please find attached herewith the statement of Grievance Redressal Mechanism as per Regulation 13 (3) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the quarter ended 31<sup>st</sup> December, 2017.

Kindly acknowledge the same.

Thanking You,

Yours faithfully,

**For Frontier Capital Limited**

  
**Usha Iyengar**  
**Director**  
**DIN: 03447551**



**Frontier Capital Limited**

7th Floor, Tower 1, Equinox Business Park,  
Peninsula Techno Park, LBS Marg, Kurla (west),  
Mumbai - 400070

CIN: L65990MH1984PLC033128

T : + 91-22 33826259  
F : + 91-22 33826123  
W : www.frontiercapital.in

Dated: 10/11/2018

To,  
Deputy General Manager  
Corporate Relationship Department,  
The Stock Exchange, Mumbai,  
14<sup>th</sup> Floor, Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai - 400001

**Sub: Submission of Statement for Investor Compliant for Quarter 3 of FY 2017-18**

Ref: Compliance to Regulation 13 of LODR, 2015.

Respected Sir,

As per Regulation 13(2) we have registered with SEBI Scores and Statement of Investor Complaints status for 3<sup>rd</sup> Quarter ended on 31st December, 2017 is as follows:

Particulars	No. of Complaints
Pending at the Beginning of the Quarter	NIL
Received during the Quarter	NIL
Disposed-off during the Quarter	NIL
Remaining unresolved at the end of the Quarter	NIL

Thanking You,

**For Frontier Capital Limited**



**Usha Iyengar**  
Director  
DIN: 03447551



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# CERTIFICATE



AN ISO 9001-2008 COMPANY

## FRONTIER CAPITAL LTD.

DETAILS OF INVESTOR'S REQUESTS / COMPLAINTS

REPORT FOR THE PERIOD : 01-10-2017 TO 31-12-2017

SRL.NO.	NATURE OF REQUESTS/COMPLAINTS	OPENING BALANCE	STOCK EXCHANGE	SEBI	OTHERS	TOTAL RECEIVED	REDRESSED	PENDING
1	DELAY IN TRANSFER OF SHARES.	-	-	-	-	-	-	-
2	NON-RECEIPT OF SHARES/ DIVIDENDS/ RIGHTS/ BONUS SHARES.	-	-	-	-	-	-	-
3	DELAY/ NON-RECEIPTS IN ISSUE OF DUPLICATE SHARES.	-	-	-	-	-	-	-
4	DELAY/ NON RECEIPT OF ANNUAL REPORTS.	-	-	-	-	-	-	-
5	DELAY/ NON-RECEIPT OF REDEMPTION AMOUNT OF DEBENTURES.	-	-	-	-	-	-	-
6	DELAY/ NON-RECEIPT OF INTEREST ON DEBENTURES.	-	-	-	-	-	-	-
7	DELAY/ NON-CREDIT OF SHARES IN THE ACCOUNT BY THE BROKER.	-	-	-	-	-	-	-
8	DELAY/ NON-PAYMENT OF SALE PROCEEDS BY THE BROKER ETC.	-	-	-	-	-	-	-
9	MANIPULATION IN THE ACCOUTS STATEMENTS.	-	-	-	-	-	-	-
10	UNAUTHORIZED TRADES AND UNAUTHORIZED MOVEMENTS OF SHARES AND FUNDS FROM THE CLIENT' ACCOUNTS.	-	-	-	-	-	-	-
11	DABBA TRADING/ CHURNING ETC. IN CLIENTS' ACCOUNTS.	-	-	-	-	-	-	-
12	DELAY/ NON-UPDATING OF CLIENTS' INFORMATION IN RECORDS.	-	-	-	-	-	-	-
	<b>NIL COMPLAINT RECEIVED</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>

For SHAREX DYNAMIC (INDIA) PVT.LTD.

(AUTHORISED SIGNATORY)