



October 16, 2018

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 540776</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: 5PAISA</p>
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Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2018

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2018.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

For 5paise Capital Limited




Roshan Dave

Company Secretary

Email: csteam@5paise.com

Encl: as above

5paise Capital Limited

Corporate Identity Number: L67190MH2007PLC289249

Corporate Office/ Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Wagle Estate, Thane – 400604.

E-mail: csteam@5paise.com • Website: www.5paise.com

Company Secretaries

Ref. _____

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015


FOR THE HALF YEAR ENDED 30.09.2018.

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **5PAISA CAPITAL LIMITED** maintained by M/s Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 1st April, 2018 to 30th September, 2018 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to 5Paisa Capital Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request for transfer of shares during half year ended on 30th September, 2018.

Date: 15/10/2018

Place: Mumbai

Signature: _____


NILESH G. SHAH.
Company Secretary
C. P. No: 2631

