



**TRC Financial Services Limited**

CIN : L74899MH1994PLC216417

29<sup>th</sup> October, 2018

**To,**  
**Listing Department**  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sir / Madam,

**SUB: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30<sup>th</sup> September, 2018.**

Pursuant to Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 please find enclosed herewith Certificate issued by Practicing Company Secretary for the half year ended 30th September, 2018.

Kindly take the above on your record.

Thanking You,

Yours faithfully

For TRC Financial Services Limited

**Vijay Misquitta**  
**Managing Director & CFO**  
**DIN: 00993141**



**Certificate Under Clause 40 (9) Of SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 for the half year ended September 30, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. TRC Financial Services Limited, bearing (CIN: L74899MH1994PLC216417)** having registered office at Bandra Hill View CHS, (3<sup>rd</sup> Floor), 85 Hill Road, Opp Yoko Sizzlers, Bandra-West Mumbai- 400050 (hereinafter referred to as the Company) and maintained by **M/s. Purva Sharegistry (India) Private Limited**, the Company's Registrar & Transfer Agents(RTA), pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has delivered :

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** as entered in the Memorandum of Transfers, within thirty days of the date of lodgment for transfer from respective date of lodgment of each deed except those rejected on technical grounds. Further the Company has not received any request for, sub-division, consolidation, renewal, exchange of shares held in physical mode.
- B) Any other information : Nil



**Signature:**

**Name: Priti J. Sheth & Associates  
Company Secretaries**

**Date: 29<sup>th</sup> October, 2018**

**Place: Mumbai**

**C. P. No: 5518**

**FCS No: 6833**