

KPL/2018-19/BSE
17.10.2018



Bombay Stock Exchange Ltd.
Phiroze Jeejeebhoy Towers
25th Floor, Dalal Street
MUMBAI - 400 001

Scrip Code : 530299

National Stock Exchange Of India Ltd.
Exchange Plaza 5th Floor
Plot No.C/1, G Block
Bandra Kurla Complex Bandra (E)
MUMBAI - 400 051

Scrip Code : KOTHARIPRO

Dear sir,

Re : SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATION, 2015 (LISTING REGULATIONS)

With reference to the captioned subject we are attaching herewith a Compliance Certificate from a practising Company Secretary in respect of despatch of Share Certificate(s) after transfer of shares of our Company for the period of Six months ended 30th September, 2018.

Kindly acknowledge the receipt.

Thanking you,

Yours faithfully
for **KOTHARI PRODUCTS LTD.**

(RAJ KUMAR GUPTA)
CS & COMPLIANCE OFFICER

FCS - 3281

Address :

"Pan Parag House
24/19, The Mall,
Kanpur



Regd. Off. : " Pan Parag House", 24/19, The Mall, Kanpur - 208 001 (INDIA)

Phone : +91 512 2312171-72-73-74 • Fax: +91 512 2312058

E-mail: kothari@panparag.com • Website : www.kothariproducts.in

CIN No. - L 16008 UP 1983 PLC 006254

**ADESH TANDON & ASSOCIATES
COMPANY SECRETARIES**

**"Kan Chambers"
Office No. 811, 8th Floor,
14/113, Civil Lines,
Kanpur-208001
Ph: 0512-2332397
Email: adesh.tandon11@gmail.com**

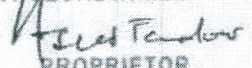
**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATION, 2015
FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **KOTHARI PRODUCTS LIMITED**, having its Registered office at " **PAN PARAG HOUSE 24/19, THE MALL, KANPUR** and paid up share capital of **Rs. 29,84,38,650/- (RUPEES TWENTY NINE CRORES EIGHTY FOUR LAKHS THIRTY EIGHT THOUSAND SIX HUNDRED AND FIFTY ONLY)** divided into **2,98,43,865 (TWO CRORE NINETY EIGHT LAKHS FORTY THREE THOUSAND EIGHT HUNDRED AND SIXTY FIVE ONLY)** equity shares of **Rs. 10/- (RUPEES TEN ONLY)** each maintained by **ALANKIT ASSIGNMENTS LIMITED**, Registrar and Transfer Agent of the Company, pertaining to transfer of equity shares of the company for the period from **1st April, 2018 to 30th September, 2018** for the purpose of issuing a Certificate under sub-regulation (9) of Regulation 40 of the **SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015** pursuant to Listing Agreement entered into by **KOTHARI PRODUCTS LIMITED** with **BSE Limited (BSE)** and **National Stock Exchange of India Ltd (NSE)** and based on the information provided by the Company, I hereby certify that the Company has delivered during half year ended on **30th September, 2018:-**

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **1st April, 2018 to 30th September, 2018** as entered in the Memorandum of Transfers have been issued within **FIFTEEN DAYS** of the date of lodgment for transfer excepting those rejected on technical grounds. However, there was no case of sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed.
- B) During the period under review, no request for **REMAT** was received by the Company.
- C) The Company has not issued any debentures hence the reporting of the same is not applicable.

Date: 16.10.2018
Place: Kanpur

Adesh Tandon and Associates
(Company Secretaries)
For **ADESH TANDON & ASSOCIATES**
COMPANY SECRETARIES


PROPRIETOR
C. P. No. 1121
Adesh Tandon
Membership No: 2253
C. P. No. : 1121