

15<sup>th</sup> October, 2018

To,  
**Bombay Stock Exchange Limited**  
Listing Department  
P. J. Towers, Dalal Street  
Mumbai-400001

Dear Sir,

**Sub: Submission of Certificate under Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(c) of the Listing agreement).**

Pursuant to the Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(c) of the Listing Agreement), we are sending herewith a copy of the Certificate issued by Mr. Veeraghavan. N, Practicing Company Secretary dated 11.10.2018 and received on 15<sup>th</sup> October, 2018 for the half year ended on 30/09/2018.

Kindly acknowledge the receipt of the same and take the same on record.

Thanking you,

Yours faithfully,  
For **Emkay Global Financial Services Limited**

  
**B. M. Raul**  
Company Secretary & Compliance Officer

Encl : As above



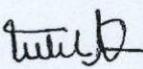
**Veeraraghavan.N**  
Practicing Company Secretary  
B.Sc., LLB., A.C.S.

First Maritime Private Limited  
201 Gheewala Building  
Opp. New India Co-op Bank Limited  
M.P. Road, Mulund – East  
Mumbai - 400081  
Ph: 2563 2653 Mob: 9821528844  
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**CERTIFICATE UNDER REGULATION 40 OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015 (EARLIER CLAUSE 47 OF LISTING  
AGREEMENT)**

**FOR THE HALF YEAR ENDED on 30<sup>th</sup> September, 2018.**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/S. Emkay Global Financial Services Limited maintained by M/S Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April 2018 to 30<sup>th</sup> September 2018 for the purpose of issuing a Certificate as per Regulation 40 of Securities and Exchange Board of India ( Listing Obligations and Disclosure Requirements) Regulations, 2015 (earlier Clause 47(C) of the Listing Agreement) entered into by M/S. Emkay Global Financial Services Limited with BSE Ltd and National Stock Exchange of India Limited (NSE) and based on the information provided by the Company, I hereby certify that the Company has, during half year ended on 30<sup>th</sup> September 2018, not received any application / document for registration of transfer, subdivision, consolidation during the aforementioned period.

  
Veeraraghavan .  
C. P .No. 4334



Date : 11<sup>th</sup> October 2018