



# POLO QUEEN INDUSTRIAL AND FINTECH LIMITED

Regd. Off : 303/4/5, A to Z Industrial Premises, G. K. Marg, Lower Parel (W),  
Mumbai - 400013 (India)

CIN NO. L72200MH1984PLC094539

5<sup>th</sup> October, 2018

**BSE Limited**

1<sup>st</sup> Floor, New Trading Wing,  
Rotunda Building, P. J. Towers,  
Dalal Street, Fort,  
Mumbai - 400001

**Scrip Code:** 540717

**Metropolitan Stock Exchange of India Ltd**

Vibgyor Towers, 4<sup>th</sup> Floor,  
Plot No.C-62, G- Block,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai – 400098

**Symbol:** PQIF

**The Calcutta Stock Exchange Ltd**

7, Lyons Range  
Kolkata 700001  
**Scrip Code:** 029267

**Subject:** Intimation under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/Madam,

In Compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed the Certificate issued by Mrs. Grishma Khandwala, Practicing Company Secretary, for the half year ended 30<sup>th</sup> September, 2018.

Kindly take the same on record and acknowledge receipt.

Thanking you,

Yours truly,

For Polo Queen Industrial and Fintech Limited

*Namrata*  
Namrata Vanamala  
Company Secretary  
Membership No. A40814



**Encl:** As Above

Tel: (Off) 24935421 (4 Lines) 66615901 Fax: (91-22) 24935420 / 66615900

E-mail: info@poloqueen.com

**CERTIFICATE UNDER REGULATION 40 (9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **POLO QUEEN INDUSTRIAL AND FINTECH LIMITED** maintained by Satellite Corporate Services Private Limited, pertaining to transfer of equity shares of the Company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate as per Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015. I hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2018.

- A) Share/Debenture Certificates relating to the Transfer of Shares/Debentures received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable.

*gkhandwala*

**GRISHMA KHANDWALA**  
Company Secretary  
C.P. No. 1500  
7, Indian Mercantile Mansions,  
Madam Cama Road,  
Mumbai 400 039.

Place : Mumbai

Dated : 4<sup>th</sup> October, 2018