



TI Financial Holdings Limited

(Formerly known as Tube Investments of India Limited)

Dare House, 234, N.S.C. Bose Road, Chennai 600 001, India

Tel: 91.44.4217 7770-5 Fax: 91.44.4211 0404

Website: www.tifhl.com CIN:L65100TN1949PLC002905

October 25, 2018

**BSE Limited
Corporate Relationship Department
1st Floor, New Trading Ring, Rotunda
Building, Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai 400 001.**

**National Stock Exchange of India Ltd.
Exchange Plaza, 5th Floor
Plot No.C/1, G Block
Bandra-Kurla Complex
Bandra (E)
Mumbai 400 051.**

Dear Sir,

Sub: Compliance Certificate under Regulation 40(9 & 10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – for the half year ended September 30, 2018

Pursuant to Regulation 40(9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we enclose a certificate from the Practicing Company Secretary for the period from 1st April 2018 to 30th September 2018.

Kindly take the same on record.

Thanking you,

For **TI Financial Holdings Limited**

A handwritten signature in blue ink, appearing to read 'E Krithika'.

**E Krithika
Company Secretary**

Encl: As above

12th October, 2018

COMPLIANCE CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

For the period from 1st April, 2018 to 30th September, 2018

ISIN: INE149A01033

SECURITY CODE: 504973

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents, relating to **TI Financial Holdings Limited** (Formerly known as **Tube Investments of India Limited**) having its Registered Office at "Dare House", 234, N.S.C. Bose Road, Chennai – 600001, maintained by its Registrar and Share Transfer Agent viz., **Karvy Computershare Private Limited** having its office at Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 of the company for the period from **1st April, 2018 to 30th September, 2018** for the purpose of issuing a Compliance Certificate under Regulation 40 (9 & 10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Uniform Listing Agreement entered into by, **TI Financial Holdings Limited** (Formerly known as **Tube Investments of India Limited**) with the **BSE Limited** pursuant Regulation 109 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief, the company has in relation to the half year ended **30th September, 2018** delivered all the share certificates relating to the share transfer deeds received during the period from **1st April, 2018 to 30th September, 2018** as entered in the Memorandum of Transfers duly endorsed within Thirty days from the date of lodgment for transfer as stipulated under the LODR Regulations, 2015 excepting those rejected on technical grounds.

During the aforementioned period, **TI Financial Holdings Limited** (Formerly known as **Tube Investments of India Limited**) has received requests for transmission and split of shares and the same has been delivered within the stipulated time period as stated in the LODR Regulations, 2015.

During the aforementioned period, **TI Financial Holdings Limited** (Formerly known as **Tube Investments of India Limited**) has not received any requests for transposition, consolidation, duplicate and renewal of share certificates.

For **R. SRIDHARAN & ASSOCIATES**
COMPANY SECRETARIES



ES R.SRIDHARAN
C.P.No.3239
FCS No.4775
UIN: S2003TN063400

TI FINANCIAL HOLDINGS LIMITED