

यूको बैंक



UCO BANK

सम्मान आपके विश्वास का

Honours Your Trust

HO/Finance/Share/ 130 /2018-19

Date : 25.10.2018

The Manager  
Listing Department  
National Stock Exchange of India Ltd.  
"Exchange Plaza"  
Bandra-Kurla Complex, Bandra (E)  
Mumbai – 400 051

The Manager  
Bombay Stock Exchange Ltd.,  
Floor 25  
Pheroj Jeejeebhoy Towers  
Dalal Street, Mumbai – 400 001

Dear Sir,

**SUB: Compliance Filing – Certifications under Listing Agreement**

With reference to above, we submit herewith the Certificate issued by M/s. Manish Ghia & Associates, Company Secretaries for the quarter ended 30.09.2018. This information is given in pursuant to Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Kindly acknowledge.

Yours faithfully,

(N Purja Chandra Rao)  
Company Secretary

Encl: As stated



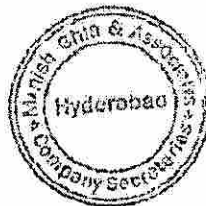
**CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015  
FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to UCO Bank having its registered office at No. 10, 7<sup>th</sup> Floor, Barbourne Road, BTM Sarani, Kolkata, West Bengal - 700001 maintained by Karvy Computershare Private Limited, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing certificate as per Regulation 40 (9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by UCO Bank and based on information provided by the Company, we hereby certify that during the half year ended on 30<sup>th</sup> September, 2018 the Company:

- a) has issued Share Certificate(s) relating to the Share Transfer form(s) received during the said half year (from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018) as entered in the Memorandum of Transfers within thirty days from the respective date of lodgment of each form(s) except those rejected on technical grounds; and
- b) has not received any request for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies.

For Manish Ghia & Associates  
Company Secretaries



*Manish L. Ghia*

CS Manish L. Ghia  
Partner

M. No. FCS 6252, C.P. No. 3531

Place : Hyderabad  
Date : 25<sup>th</sup> October, 2018

