



# NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no.: L65921MH1985PLC284739

Registered Office : 3rd Floor, Bhagyodaya Building, 79 Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra  
Tel/fax: 022-22703249 E-mail : ncl.research@gmail.com Website :- www.nclfin.com

29 October, 2018

The Deputy Manager  
Department of Corporate Services  
BSE Limited  
P. J. Towers, Dalal Street, Fort  
Mumbai – 400 001

Ref : Scrip Code 530557

Sub: Submission of Certificate u/r 7(3) of SEBI LODR Regulations 2015 for the Half Year ended on 30<sup>th</sup> Sept 2018

Respected Sir or Madam,

With reference to the above captioned matter, we are enclosing along with this letter, Certificate u/r 7(3) of SEBI LODR Regulations 2015, duly signed by RTA and Compliance Officer of the Company for the half year ended on 30<sup>th</sup> Sept 2018.

Kindly take the same on your record & oblige.

Thanking You,

Yours truly,  
For NCL RESEARCH & FINANCIAL SERVICES LIMITED

VIJAY JAIDEO PODDAR  
DIN : 00339268  
MANAGING DIRECTOR

Enclosed : As stated above



# NCL RESEARCH & FINANCIAL SERVICES LTD.

CIN no. L55921MH1985PLC284739

Registered Office: 3rd Floor, Bhagyodaya Building, 79, Nagindas Master Road, Fort, Mumbai - 400023, Maharashtra  
Tel/fax: 022-22793249 / E-mail: nclresearch@gmail.com / Website: www.nclfin.com

To,

**BSE Limited**  
P.J. Towers, Dalal Street,  
Fort, Mumbai-400001

Dear Sir,

**Sub: Compliance Certificate under Regulation 7(3) of SEBI LODR Regulations 2015**

We being the Company Secretary of the Company and the Authorized Representatives of the Share Transfer Agents do hereby certify that the **M/s. NCL Research & Financial Services Limited** having its Registered Office at 3<sup>rd</sup> Floor, Bhagyodaya Building, 79, Nagindas Master Road, Fort, Mumbai-400023 has appointed **M/s ABS Consultants Private Limited** as its Share Transfer Agents (STA) having its Registered Office/Place of activities at 99, Stephen House, 6<sup>th</sup> Floor, 6, BBD Bag (East), Kolkata-700001 for effecting transfer of shares, etc. and is a SEBI Registered Category I Share Transfer Agent bearing Registration No. INR000001286.

Further, **M/s ABS Consultants Private Limited** has properly conducted all the activities as prescribed under Regulation 7 (2) of the SEBI (LODR) Regulations, 2015 thereto in relation to both physical and electronic share transfer facility of **M/s. NCL Research & Financial Services Limited** during the period from April 1, 2018 to September 30, 2018 on its behalf.

In our opinion and to the best of our knowledge and information and according to the documents furnished to us, we here by certify that, the company has complied with the aforesaid regulations and has maintained all the records of the activities in relation to both physical and electronic share transfer of **M/s. NCL Research & Financial Services Limited**.

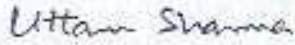
For & On behalf of  
**M/s NCL Research & Financial Services Limited**

  
**Swati Agarwal**  
Compliance Officer



Place: Mumbai  
Date : 20.10.2018

For & On behalf of  
**M/S ABS Consultants Private Limited**

  
**Uttam Sharma**  
Director/Authorised Signatory



Place: Kolkata  
Date : 20/10/2018