



## HERCULES HOISTS LIMITED

Ref: KSM/CM6

Date:- 10/10/2018

Listing Department  
The Bombay Stock Exchange Limited,  
Phiroze jeejeebhoy Towers  
Dalal Street, Mumbai- 400023  
[Scrip Code- 505720]

Listing Department,  
National Stock Exchange of India Limited  
Exchange Plaza,  
Bandra-Kurla Complex,  
Bandra (East), Mumbai-400051  
[Scrip Code HERCULES EQ]

Sub: Submission of certificate of Transfer or transmission or transposition of securities under regulation 40(9)

Dear Sir/Madam,

As per rule no. 40 (10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the certificate dated 10/10/2018 issued by CS Malati Kumar [ CP No. 10980], stating that all certificates have been issued within thirty days, of the date of lodge-ment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies.

Kindly acknowledge the same

Thanking you,

For Hercules Hoists Limited



**Kiran Mukadam**  
Company Secretary

**Corporate Office**

501 to 504, Shelton Cubix  
Sector 15, Plot No. 87  
CBD Belapur, New Mumbai 400614  
Maharashtra INDIA

T: +91 022 75717300/01  
E: indef@indef.com  
U: www.indef.com  
Works: Khalapur & Pune

**Registered Office**

Bajaj Bhawan, 2<sup>nd</sup> Floor  
226, Jamnalal Bajaj Marg  
Mumbai 400 021, INDIA  
CIN: L45400MH1962PLC012385

**bajaj group**



**S. N. ANANTHASUBRAMANIAN & CO.**

**COMPANY SECRETARIES**

**FIRM REGISTRATION NO. P1991MH040400**

10/26, BRINDABAN, THANE – 400601 | Tel 25345648/25432704 | Email [sna@snaco.net](mailto:sna@snaco.net) | Website [www.snaco.net](http://www.snaco.net)

**CERTIFICATE UNDER REGULATION 40 (9) OF**  
**SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)**  
**REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> SEPTEMBER, 2018**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Hercules Hoists Limited** ( hereinafter referred to as the Company) bearing **CIN: L45400MH1962PLC012385** (ISIN INE688E01024) and having its registered office at Bajaj Bhawan, 2<sup>nd</sup> Floor, 226, Jamnalal Bajaj Marg, Nariman Point, Mumbai – 400021 and maintained by Universal Capital Securities Private Limited, the Company's Registrar & Transfer Agents (RTA), relating to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Listing Agreement entered into by the Company with **BSE Limited** and **National Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

**No request for transfer/ sub-division/ consolidation/ renewal of shares was received by the Company during the period under review**

**For S. N. ANANTHASUBRAMANIAN & CO**  
**Company Secretaries**

*Malati A. Kumar*

**Malati Kumar**

**Partner**

**ACS 15508**

**CP. NO. 10980**



**Date : 10<sup>th</sup> October, 2018**

**Place : Thane**