



**VIKALP  
SECURITIES LIMITED**

Date: 11.10.2018

To,  
The Asst. General Manager,  
Department of Corporate Services,  
The Bombay Stock Exchange, Mumbai  
PhirozeJeejeebhoy Towers  
Dalal Street,  
Mumbai – 400001

**Sub:-Filing of Statement of Investor Complaints under Regulation 13(3) of SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter  
ended on 30<sup>th</sup> September,2018**


Ref:-SCRIP CODE - 531334

Dear Sir,  
This is with regard to VIKALP SECURITIES LIMITED (ISIN INE186E01011).  
With reference to the above mentioned subject pursuant to the Regulation 13(3) of SEBI (Listing  
Obligations and Disclosure Requirements) Regulations ,2015 ,please find the enclosed herewith  
the Statement of Investor Complaints for the quarter ended on 30<sup>th</sup> September,2018 .

Kindly take above document into your record.

Thanks & Regards

**For Vikalp Securities Limited**  
for Vikalp Securities Ltd.

  
ArunKejriwal  
Designation : Managing Director  
DIN : 00687890



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Dalal Street,  
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**Sub:-Statement of Investor Complaints under Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the quarter ended on 30<sup>th</sup> September,2018**

Ref:-SCRIP CODE – 531334

Dear Sir,  
This is with regard to VIKALP SECURITIES LIMITED having CIN: L65993UP1986PLC007727 and (ISIN INE186E01011) is registered on the SCORES platform of the SEBI in order to handle investor's complaints electronically.

As required under regulation 13(3) of the SEBI SEBI (Listing Obligations and Disclosure Requirements) Regulations ,2015 ,please find below the list of status of the Investor Complaints during the quarter ended on 30<sup>th</sup> September, 2018

Number of Investors complaints pending at the beginning of quarter starting from 1st July,2018	Number of complaints received during the quarter from 1st July,2018 to 30th September,2018	Number of complaints disposed off during the quarter from 1st July,2018 to 30th September,2018	Number of complaints remaining unresolved at end of the quarter ended on 30thSeptember,2018
NIL	NIL	NIL	NIL

We hereby certify that we have no pending complaints at our end for the period  
You are requested to kindly take the aforesaid information on record.

Thanks & Regards

For Vikalp Securities Limited

*For Vikalp Securities Ltd.*

ArunKejriwal **Managing Director**

**Designation** : Managing Director

**DIN** : 00687890