

KEN FINANCIAL SERVICES LIMITED

101, Shah Arcade, A Wing, Shah Arcade SRA CHS Ltd., Rani Sati Marg,
Near W. E. Highway, Malad (East), Mumbai - 400 097.
Ph. No. 022-28881720, Email: kenfsl@rediffmail.com, Website: ken-fin.com
(CIN- L65990MH1994PLC078898)

Date: 29th October, 2018

To,
BSE Limited
Department of Corporate Service,
Phiroze Jeejeebhoy Towers,
Dalai Street, Fort,
Mumbai - 400 001.

Ref: Script Code - 530547

Sub: Submission of Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2018

Dear Sir / Madam,

We are enclosing herewith Compliance Certificate under Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 30th September, 2018.

Kindly take the same on your record.

Thanking you.

Yours faithfully,
For Ken Financial Services Limited



Sumit Gupta
DIN: 06938413
Director



Anjana Sharma & Associates

Practicing Company Secretaries

B-112, Shiv Mahal, R. N. P. Park, Next to Jesal Park, Bhayander (East), Thane - 401 105
Mob.: +91 - 93215 74194

Email ID: csanjanasharma@gmail.com

COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2018

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Ken Financial Services Limited (CIN: L65990MH1994PLC078898)** maintained by Purva Sharegistry (India) Private Limited, Registrar & Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period from **01st April, 2018 to 30th September, 2018** for the purpose of issuing a Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Based on our examination as well as the information provided by the Company and Registrar & Share Transfer Agent, which to the best of our knowledge and belief were necessary for the purpose of our certification, we hereby certify that:

- A) The Company and Registrar & Share Transfer Agent of the Company has not received any instrument for transfer of shares during the period from 01st April, 2018 to 30th September, 2018.

We are informed that no request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment was received during the relevant period.

- B) The Company has not issued any debentures during the period hence the reporting of the same is not applicable.

**For Anjana Sharma & Associates
Company Secretaries**

Anjana
**Anjana Sharma
Proprietor**



C. P. No. - 17742

Place : Thane

Date : 29-10-2018