

Ref/No/HDFCAMC/SE/2018-19/21

Date – October 15, 2018

National Stock Exchange of India Limited Exchange Plaza, Plot C/1, Block G, Bandra Kurla Complex, Bandra (East) Mumbai – 400051 Kind Attn: Head – Listing Department	BSE Limited Sir PJ Towers, Dalal Street, Mumbai – 400001 Kind Attn: Sr. General Manager – DCS Listing Department
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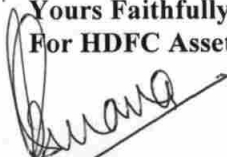
Dear Sir/Madam,

Sub: Certification in compliance with regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed herewith a certificate issued under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 by S. S. Rauthan & Associates, Company Secretaries, for the period August 06, 2018 (date of listing) to September 30, 2018.

Thanking You,

**Yours Faithfully,
For HDFC Asset Management Company Limited**



**Piyush Surana
Chief Financial Officer**



S. S. Rauthan & Associates

Company Secretaries



Surjan Singh Rauthan
B.Com., F.C.S.

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE PERIOD FROM AUGUST 6, 2018 TO SEPTEMBER 30, 2018

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **HDFC Asset Management Company Limited** maintained by **Karvy Computershare Private Limited** (the "RTA") pertaining to transfer of equity shares of the Company for the period from August 6, 2018 (being the date of Listing of Equity shares of the Company with NSE & BSE) to September 30, 2018 for the purpose of issuing a Certificate as per Regulation 40 (9) of the SEBI ((Listing Obligations and Disclosure Requirements) Regulations, 2015, entered into by HDFC Asset Management Company Limited and based on the information provided by the Company and its RTA hereby certify that;

- A) During the period from August 6, 2018 to September 30, 2018 the Company has not received any request for transfer/transmission of equity shares.
- B) During the period from August 6, 2018 to September 30, 2018 the Company has not received any request for sub-division, consolidation, renewal or issuance of duplicate share certificates.

For **S. S. Rauthan & Associates**
Company Secretaries
Firm Registration No: **S1999MH026900**


Surjan Singh Rauthan
Proprietor

FCS: 4807 C.P. No: 3233



Date : 10/10/2018
Place : Mumbai