

SWARAJ ENGINES LIMITED

Works :

Plot No. 2, Indl. Focal Point,
Phase IX, S.A.S. Nagar,
Distt. S.A.S. Nagar (Mohali)
(Near Chandigarh)

SWARAJ

02/SP/EXCH

11th October, 2018

Tel. : 0172-2234941-47, 2234950

Fax : +91-172-2234955

Email: mail@swarajenterprise.com

The General Manager**Corp. Relationship Deptt****BSE Limited**1st Floor, New Trading Ring

Rotunda Building, P.J. Towers

Dalal Street, fort, Mumbai

Email: corp.relations@bseindia.com

Script Code: 500407

The Secretary**National Stock Exchange of India Ltd.**

Capital Market-Listing, Exchange Plaza,

Bandra – Kurla Complex, Bandra (E)

Mumbai

Email: cmlist@nse.co.in

Script Code: SWARAJENG


**SUB: Certificate from Practicing Company Secretary u/r 40(9) of SEBI
(LODR) Regulations 2015 for half year ended 30th September, 2018**

Dear Sir,

Pursuant of Regulations 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith a certificate from M/s A.Arora & Co. Practicing Company Secretaries, for half year ended 30th September, 2018.

Kindly take the above information on your record.

With regards,

For Swaraj Engines Limited**(M.S.GREWAL)****Company Secretary**

Encl: As above

AJAY K. ARORA
LL.B., FCS, IP

GST : 04ADSPA8498H123

A. ARORA & CO.

Company Secretaries
&
Insolvency Professional

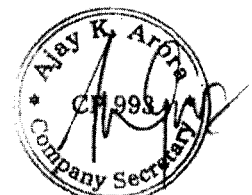
S.C.O. 64-65, 1ST FLOOR,
SECTOR 17-A, MADHYA MARG,
CHANDIGARH-160 017
Ph.: (O) 2701906
MOBILE : 98140-06492
E-MAIL : ajaykcs@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE
REQUIREMENTS) REGULATIONS, 2015**
FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2018

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Swaraj Engines Limited** maintained by M/s. MCS Share Transfer Agent Limited, Srivenkatesh Bhawan, F-65, 1st Floor, Okhla Industrial Area, Phase-1, New Delhi - 110020 pertaining to transfer of equity shares of the Company for the period from **1st April, 2018 to 30th September, 2018** for the purpose of issuing a Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

- During the half year ended on 30th September, 2018, all Share Certificates have been issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal or exchange excepting those rejected on technical grounds.

Date : 10.10.2018
Place : Chandigarh



AJAY K ARORA
C.P. No. : 993