



# DB (INTERNATIONAL) STOCK BROKERS LTD.

- NSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- BSE-CAPITAL MARKET, F&O & CURRENCY SEGMENTS
- DEPOSITORY PARTICIPANT-CDSL • IPO, MUTUAL FUND & BONDS

402, New Delhi House, 27 Barakhamba Road, New Delhi - 110 001  
TEL.: 011-43606162, Email : [compliance@dbonline.in](mailto:compliance@dbonline.in), website: [www.dagabusiness.com](http://www.dagabusiness.com)  
CIN : L67120HR1992PLC035349

15<sup>th</sup> October, 2018

Department of Corporate Services  
Bombay Stock Exchange Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street  
Mumbai-400001

**Sub: Submission of Compliance Certificate under Regulation 40(9) & (10) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015**

Dear Sir,

Please find enclosed the Certificate under Regulation 40(9) of the SEBI (Listing Obligation and Disclosure Requirements) Regulations, 2015 duly certified by the Practicing Company Secretary for the half year ended on 30<sup>th</sup> September, 2018

Kindly take the above on record.

Thanking you,

Yours faithfully,

For DB (International) Stock Brokers Limited

  
Himanshi Mittal  
Compliance Officer  
Encl: As Above



# D BANSAL & ASSOCIATES

(COMPANY SECRETARIES)

## CERTIFICATE

**CERTIFICATE UNDER REGULATION 40(9) & (10) OF THE SEBI (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to *DB International Stock Brokers Limited, having its office at 402, New Delhi House, 27 Barakhamba Road New Delhi-110001* maintained by *Abhipra Capital Limited, having its office at Abhipra Complex, A-387, Dilkhush Industrial Area, GT Karnal Road, Delhi-110033* pertaining to transfer of equity shares of the company for the period from *1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018* for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI ( Listing Obligations and Disclosure Requirements) Regulations, 2015 based on the information provided and records placed before me I hereby certify that :

- Share Certificate(s) relating to the transfer of Shares received during the period from *1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018* as entered in the Memorandum of Transfers have been issued within fifteen days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.

Dated: 11<sup>th</sup> October, 2018

Place: Delhi

For D Bansal & Associates  
(Company Secretaries)



Deepika Bansal  
(Proprietor)

Membership No: 47810

C.P No: 17506