



Ref: STEX/SECT/2018  
October 09, 2018

The Relationship Manager BSE Limited P. J. Towers Dalal Street, Fort Mumbai 400001  BSE Scrip Code: 500480	National Stock Exchange of India Limited Exchange Plaza, 5 <sup>th</sup> Floor Plot No. C/1, G Block, Bandra – Kurla Complex Bandra (East) Mumbai 400051  NSE Symbol: CUMMINSIND
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**Subject: Compliance Certificate – Regulation 40(9) of SEBI  
(Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sirs,

As per the requirement of Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing a Compliance Certificate for the half year ended on September 30, 2018, obtained from SVD & Associates, Practicing Company Secretaries, Pune.

Thanking you,

Yours truly,  
For Cummins India Limited

K. Venkata Ramana  
Group Vice President – Legal & Company Secretary  
Membership No.: F4138

Encl : As above

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015  
FOR THE HALF YEAR ENDED ON 30<sup>TH</sup> SEPTEMBER 2018

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **Cummins India Limited (“the Company”)** having its registered office at Cummins India Office Campus, Tower A, 5th Floor, Survey No. 21, Balewadi, Pune-411 045 maintained by **M/s Link Intime India Private Limited**, Registrar and Transfer Agents (“RTA”), having its registered office at C-101, 1st Floor, 247 Park, Lal Bahadur Shastri Marg, Vikhroli (West) Mumbai- 400 083 pertaining to issue of equity share certificates of the Company for the period from **01<sup>st</sup> April 2018 to 30<sup>th</sup> September 2018** as per Regulation 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations 2015, by the Company and based on the information provided by the Company and their RTA, we hereby certify that the Company has delivered during the half year ended on 30<sup>th</sup> September 2018:

- A) Share Certificates relating to the Share Transfer forms (including, deletion of the name of the deceased, transmission of securities and transposition of names, wherever applicable) received during the period from 01<sup>st</sup> April 2018 to 30<sup>th</sup> September 2018 as entered in the Memorandum of Transfers have been issued within 30 days from the respective date of lodgment of each form except those rejected on technical grounds or those where seller Notices have been sent.
- B) Share Certificates in respect of requests for sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies, wherever applicable have been issued within 30 days of lodgment.
- C) In respect of request for issue of duplicate share certificates, the certificates have been issued within 30 days of the completion of all the formalities.

For SVD & Associates



S.V. Deulkar  
Partner

FCS No 1321

C. P. No. 965

Date: 08<sup>th</sup> October, 2018

Place: Pune

